

## ADVANCE VERSION



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**Conference of the Parties serving as the meeting  
of the Parties to the Paris Agreement**  
**Third session**  
**Glasgow, 31 October to 12 November 2021**

Item 2(a) of the provisional agenda

**Organizational matters**  
**Adoption of the agenda**

### **Provisional agenda and annotations<sup>†</sup>**

#### **Note by the Executive Secretary<sup>1</sup>**

#### **I. Provisional agenda**

1. Opening of the session.
2. Organizational matters:
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  - (b) Election of additional officers;
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3. Reports of the subsidiary bodies:
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4. Report of the Adaptation Committee (for 2019, 2020 and 2021).
5. Methodological issues relating to the enhanced transparency framework for action and support referred to in Article 13 of the Paris Agreement.
6. Public registries under the Paris Agreement:
  - (a) Modalities and procedures for the operation and use of a public registry referred to in Article 4, paragraph 12, of the Paris Agreement;
  - (b) Modalities and procedures for the operation and use of a public registry referred to in Article 7, paragraph 12, of the Paris Agreement.

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<sup>†</sup> This document was scheduled for publication after the standard publication date owing to circumstances beyond the submitter's control.

<sup>1</sup> The [abbreviations and acronyms list](#) can be found at the end of the document.

7. Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts.<sup>2</sup>
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    - (ii) First report on the determination of the needs of developing country Parties related to implementing the Convention and the Paris Agreement;
    - (iii) Fourth (2020) Biennial Assessment and Overview of Climate Finance Flows;
  - (b) Guidance to the Green Climate Fund;
  - (c) Guidance to the Global Environment Facility;
  - (d) Matters relating to the Adaptation Fund;
  - (e) New collective quantified goal on climate finance;
  - (f) Compilation and synthesis of, and summary report on the in-session workshop on, biennial communications of information related to Article 9, paragraph 5, of the Paris Agreement.
9. Development and transfer of technologies:
  - (a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network (for 2020 and 2021);
  - (b) Alignment between processes pertaining to the review of the Climate Technology Centre and Network and the periodic assessment referred to in paragraph 69 of decision 1/CP.21;
  - (c) First periodic assessment referred to in paragraph 69 of decision 1/CP.21.
10. Capacity-building under the Paris Agreement.
11. Report of the forum on the impact of the implementation of response measures.
12. Matters relating to Article 6 of the Paris Agreement:
  - (a) Guidance on cooperative approaches referred to in Article 6, paragraph 2, of the Paris Agreement;
  - (b) Rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement;
  - (c) Work programme under the framework for non-market approaches referred to in Article 6, paragraph 8, of the Paris Agreement.
13. Report of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement (for 2020 and 2021).
14. Stocktake on financial support and means of implementation for alternative policy approaches such as joint mitigation and adaptation approaches for the integral and sustainable management of forests.
15. Special needs and special circumstances of Africa.
16. Matters relating to adaptation:
  - (a) Reports of the Adaptation Committee (2019, 2020 and 2021, and review of the Adaptation Committee);

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<sup>2</sup> Neither the inclusion of this item in the agenda nor the annotations to it prejudice outcomes on matters related to the governance of the WIM.

- (b) The global goals on adaptation;
  - (c) Recognition of adaptation efforts of developing country Parties;
  - (d) Enhancing the implementation of adaptation action taking into account the adaptation communication referred to in Article 7, paragraph 10;
  - (e) The adequacy and effectiveness of adaptation and support provided for adaptation.
17. Administrative, financial and institutional matters:
- (a) Audit report and financial statements for 2019 and 2020;
  - (b) Budget performance for the bienniums 2018–2019 and 2020–2021;
  - (c) Programme budget for the biennium 2022–2023.
18. High-level segment:
- (a) Statements by Parties;
  - (b) Statements by observer organizations.
19. Other matters.
20. Conclusion of the session:
- (a) Adoption of the draft report of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement on its third session;
  - (b) Closure of the session.

## II. Guidance from the Bureau of the governing bodies

1. Information on the guidance from the Bureau in the light of the coronavirus disease 2019 pandemic can be found in the annotations to the provisional agenda for COP 26.<sup>3</sup>

## III. Proposed organization of the session: overview

2. Information on the organization of the session can be found in the annotations to the provisional agenda for COP 26.<sup>4</sup>
3. Further, the first biennial high-level ministerial dialogue on Article 9, paragraph 5, of Paris Agreement will be convened during the session. The dialogue will be informed by the summary report on the biennial in-session workshop on information provided by Parties in accordance with, and the biennial communications referred to in, Article 9, paragraph 5, of the Paris Agreement.
4. In response to requests from COP 21 and CMA 2,<sup>5</sup> the secretariat has prepared a synthesis report on the NDCs submitted by Parties.<sup>6</sup> The report synthesizes information from the latest NDCs of all 191 Parties to the Paris Agreement available in the interim NDC registry as at 30 July 2021.

<sup>3</sup> FCCC/CP/2021/1, paras. 1–4.

<sup>4</sup> FCCC/CP/2021/1, paras. 5–11 and 15.

<sup>5</sup> Decisions 1/CP.21, para. 25, and 1/CMA.2, para. 10.

<sup>6</sup> FCCC/PA/CMA/2021/8 and Add.1–3. The initial version of the report was published in February 2021 (FCCC/PA/CMA/2021/2 and Add.1–3).

## IV. Annotations to the provisional agenda

### 1. Opening of the session

5. CMA 3 will be opened by the President of COP 26, CMP 16 and CMA 3, Alok Sharma (United Kingdom of Great Britain and Northern Ireland).

### 2. Organizational matters

#### (a) Adoption of the agenda

6. *Background:* The secretariat, in agreement with the President of CMA 2, Carolina Schmidt (Chile), drafted the provisional agenda for CMA 3 after consultations with the Bureau of the governing bodies.

7. *Action:* The CMA will be invited to adopt its agenda.

FCCC/PA/CMA/2021/1	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
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#### (b) Election of additional officers

8. *Background:* If any member of the Bureau represents a State that is not a Party to the Paris Agreement, consultations will be required to identify a nominee representing a Party to the Paris Agreement to replace such a member in accordance with Article 16, paragraph 3, of the Paris Agreement.

9. Parties are invited to recall decision 3/CP.23 and to give active consideration to the nomination of women for elective posts in any body established under the Convention or the Paris Agreement.

10. *Action:* The CMA will be invited, as necessary, to elect additional members of the Bureau of COP 26, CMP 16 and CMA 3 to replace any members representing States that are not Parties to the Paris Agreement.

<i>Further information</i>	<a href="https://unfccc.int/process-and-meetings/bodies/election-and-membership">https://unfccc.int/process-and-meetings/bodies/election-and-membership</a>
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#### (c) Organization of work, including for the sessions of the subsidiary bodies

11. *Action:* The CMA will be invited to agree on the organization of work for the session and the referral of items to the subsidiary bodies, as appropriate, as indicated under the relevant agenda items.

12. Guided by the principles of openness, transparency and inclusiveness, the CMA will be invited to organize its work in a manner that ensures that the mandates for the session are addressed while being flexible enough to respond to changing circumstances and new developments in the negotiations.

FCCC/PA/CMA/2021/1	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
FCCC/CP/2021/1	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
FCCC/KP/CMP/2021/1	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
FCCC/SBSTA/2021/2	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
FCCC/SBI/2021/9	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>

(d) Approval of the report on credentials

13. *Background:* The Bureau will examine the credentials submitted by Parties to the Paris Agreement and submit its report on credentials for approval by the CMA.<sup>7</sup>

14. *Action:* The CMA will be invited to approve the report on credentials of the representatives of Parties attending CMA 3. Representatives may participate provisionally, pending this action.

(e) Status of ratification of the Paris Agreement

15. *Background:* Parties will be updated on the instruments of acceptance received by the Depositary in respect of the Paris Agreement.<sup>8</sup>

16. *Action:* The CMA may wish to take note of the information provided regarding the status of ratification of the Paris Agreement and to invite Parties intending to do so to expedite the deposit of their instruments of acceptance of the Paris Agreement.

**3. Reports of the subsidiary bodies**(a) Report of the Subsidiary Body for Scientific and Technological Advice

17. *Background:* The Chair of the SBSTA will report on the work conducted at SBSTA 52–55, including on any recommendations of draft decisions and conclusions for consideration and adoption at CMA 3 arising from that work and on any other issues mandated for consideration by the SBSTA.

18. *Action:* The CMA will be invited to take note of the progress in the work of the SBSTA and to consider the recommended draft decisions and conclusions for adoption.

(b) Report of the Subsidiary Body for Implementation

19. *Background:* The Chair of the SBI will report on the work conducted at SBI 52–55, including on any recommendations of draft decisions and conclusions for consideration and adoption at CMA 3 arising from that work and on any other issues mandated for consideration by the SBI.

20. *Action:* The CMA will be invited to take note of the progress in the work of the SBI and to consider the recommended draft decisions and conclusions for adoption.

**4. Report of the Adaptation Committee (for 2019, 2020 and 2021)**

21. *Background:* CMA 1 decided that the Adaptation Committee shall serve the Paris Agreement.<sup>9</sup>

22. For information on the informal work undertaken by the CMA 2 Presidency and the incoming CMA 3 Presidency, see document FCCC/CP/2021/1, paragraph 3.

23. Matters relating to this agenda item are considered under the SBSTA and the SBI.<sup>10</sup>

24. *Action:* The CMA will be invited to consider the reports of the Adaptation Committee, to provide guidance to it and to take any action it deems appropriate.

FCCC/SB/2019/3	<i>Report of the Adaptation Committee</i>
FCCC/SB/2020/2	<i>Report of the Adaptation Committee</i>
FCCC/SB/2021/6	<i>Report of the Adaptation Committee</i>

<sup>7</sup> Decision 2/CMA.1. For further information on the submission of credentials, see document FCCC/CP/2021/1, paras. 33–34.

<sup>8</sup> See [https://treaties.un.org/Pages/ViewDetails.aspx?src=TREATY&mtdsg\\_no=XXVII-7-d&chapter=27&clang=en](https://treaties.un.org/Pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XXVII-7-d&chapter=27&clang=en).

<sup>9</sup> Decision 11/CMA.1, para. 1.

<sup>10</sup> See documents FCCC/SBSTA/2021/2, paras. 19–22, and FCCC/SBI/2021/9, paras. 58–61.

Further information

<https://unfccc.int/Adaptation-Committee>

## 5. Methodological issues relating to the enhanced transparency framework for action and support referred to in Article 13 of the Paris Agreement

25. *Background:* CMA 1 requested the SBSTA to develop the following for consideration and adoption at CMA 3, pursuant to the modalities, procedures and guidelines for the transparency framework for action and support:<sup>11</sup>

(a) Common reporting tables for the electronic reporting of the information referred to in chapter II and common tabular formats for the electronic reporting of the information referred to in chapters III, V and VI of the modalities, procedures and guidelines for the transparency framework for action and support, taking into account the existing common tabular formats and common reporting formats;

(b) Outlines of the biennial transparency report, national inventory document and technical expert review report;

(c) A training programme for technical experts participating in the technical expert review.

26. For information on the informal work undertaken by the CMA 2 Presidency and the incoming CMA 3 Presidency, see document FCCC/CP/2021/1, paragraph 3.

27. Matters relating to this agenda item are considered under the SBSTA.<sup>12</sup>

28. *Action:* The CMA will be invited to take any action it deems appropriate on the basis of the recommendations of the SBSTA.

## 6. Public registries under the Paris Agreement

### (a) Modalities and procedures for the operation and use of a public registry referred to in Article 4, paragraph 12, of the Paris Agreement

29. *Background:* CMA 1 adopted the modalities and procedures for the operation and use of the public registry referred to in Article 4, paragraph 12, of the Paris Agreement.<sup>13</sup>

30. As requested by the CMA,<sup>14</sup> the secretariat presented to Parties at SB 50 a prototype of the public registry referred to in Article 4, paragraph 12, of the Paris Agreement developed in accordance with the modalities and procedures contained in the annex to decision 5/CMA.1.

31. CMA 2 did not complete consideration of this matter. In accordance with rules 10(c) and 16 of the draft rules of procedure being applied, this sub-item has been included in the provisional agenda for this session.

32. *Action:* The CMA will be invited to consider and conclude whether the prototype referred to in paragraph 30 above conforms to the modalities and procedures referred to in paragraph 29 above.

### (b) Modalities and procedures for the operation and use of a public registry referred to in Article 7, paragraph 12, of the Paris Agreement

33. *Background:* CMA 1 decided to establish the public registry for adaptation communications referred to in Article 7, paragraph 12, of the Paris Agreement to contain adaptation communications submitted by Parties in accordance with Article 7, paragraph 11,

<sup>11</sup> Decision 18/CMA.1, para. 12. The modalities, procedures and guidelines are contained in the annex to that decision.

<sup>12</sup> See document FCCC/SBSTA/2021/2, paras. 86–90.

<sup>13</sup> Decision 5/CMA.1, para. 1.

<sup>14</sup> Decision 5/CMA.1, para. 4(a).

of the Paris Agreement. It also decided to adopt the modalities and procedures for the operation and use of the public registry.<sup>15</sup>

34. As requested by the CMA, the secretariat presented to Parties at SB 50 a prototype of the public registry referred to in paragraph 33 above developed in accordance with the modalities and procedures contained in the annex to decision 10/CMA.1.<sup>16</sup>

35. CMA 2 did not complete consideration of this matter. In accordance with rules 10(c) and 16 of the draft rules of procedure being applied, this sub-item has been included in the provisional agenda for this session.

36. *Action:* The CMA will be invited to consider and conclude whether the prototype referred to in paragraph 34 above shall serve as the public registry referred to in Article 7, paragraph 12, of the Paris Agreement.<sup>17</sup>

## 7. Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts

37. *Background:* COP 19 established the WIM to address loss and damage associated with impacts of climate change, including extreme events and slow onset events, in developing countries that are particularly vulnerable to the adverse effects of climate change, and the WIM Executive Committee to guide the implementation of the functions of the Mechanism.<sup>18</sup> COP 19 and 20 requested the Executive Committee to report annually to the COP through the subsidiary bodies and to make recommendations, as appropriate.<sup>19</sup>

38. Article 8, paragraph 2, of the Paris Agreement states that the WIM shall be subject to the authority and guidance of the CMA and may be enhanced and strengthened as determined by the CMA.

39. For information on the informal work undertaken by the CMA 2 Presidency and the incoming CMA 3 Presidency, see document FCCC/CP/2021/1, paragraph 3.

40. Matters relating to this agenda item are considered under the SBSTA and the SBI.<sup>20</sup>

41. *Action:* The CMA will be invited to take any action it deems appropriate on the basis of the recommendations of the SBSTA and the SBI. It will also be invited to elect members of the Executive Committee.

<i>FCCC/SB/2020/3</i>	<i>Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts</i>
<i>FCCC/SB/2021/4 and Add.1–2</i>	<i>Report of the Executive Committee of the Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts</i>
<i>Further information</i>	<a href="https://unfccc.int/WIM">https://unfccc.int/WIM</a> ; <a href="https://unfccc.int/topics/adaptation-and-resilience/workstreams/approaches-to-address-loss-and-damage-associated-with-climate-change-impacts-in-developing-countries">https://unfccc.int/topics/adaptation-and-resilience/workstreams/approaches-to-address-loss-and-damage-associated-with-climate-change-impacts-in-developing-countries</a> and <a href="https://unfccc.int/process-and-meetings/bodies/election-and-membership">https://unfccc.int/process-and-meetings/bodies/election-and-membership</a>

<sup>15</sup> Decision 10/CMA.1, paras. 1–2.

<sup>16</sup> Decision 10/CMA.1, para. 4(a).

<sup>17</sup> Decision 10/CMA.1, para. 5.

<sup>18</sup> Decision 2/CP.19, paras. 1–2.

<sup>19</sup> Decisions 2/CP.19, para. 3, and 2/CP.20, para. 4.

<sup>20</sup> See documents FCCC/SBSTA/2021/2, paras. 23–27, and FCCC/SBI/2021/9, paras. 62–66.

## 8. Matters relating to finance

### (a) Matters relating to the Standing Committee on Finance

- (i) Report of the Standing Committee on Finance – Paris Agreement matters
- (ii) First report on the determination of the needs of developing country Parties related to implementing the Convention and the Paris Agreement
- (iii) Fourth (2020) Biennial Assessment and Overview of Climate Finance Flows

42. *Background:* COP 21 decided that the SCF shall serve the Paris Agreement in line with its functions and responsibilities established under the COP.<sup>21</sup>

43. COP 17 requested the SCF to prepare a biennial assessment and overview of climate finance flows drawing on the available sources of information and including information on the geographical and thematic balance of flows.<sup>22</sup> COP 24 requested the SCF to prepare, every four years, a report on the determination of the needs of developing country Parties related to implementing the Convention and the Paris Agreement for consideration by the COP and the CMA, starting at COP 26 and CMA 3.<sup>23</sup>

44. CMA 2 requested the SCF to report to CMA 3 on progress in implementing its workplan,<sup>24</sup> including on outcomes of the fourth (2020) Biennial Assessment and Overview of Climate Finance Flows, the draft guidance to the operating entities of the Financial Mechanism and the SCF Forum.

45. CMA 2 decided that the review of the functions of the SCF would be initiated as part of the review referred to in decision 11/CP.25 in 2021 with a view to being concluded in 2022.<sup>25</sup>

46. A proposal was received from Gabon on behalf of the African Group on 17 August 2021 that the issues under sub-item 8(a) be included in the agenda and considered separately.

47. *Action:* The CMA will be invited to consider the 2020 and 2021 reports of the SCF, including the summary and recommendations of the fourth (2020) Biennial Assessment and Overview of Climate Finance Flows and the first report on the determination of the needs of developing country Parties related to implementing the Convention and the Paris Agreement, and to take any action it deems appropriate. The CMA will also be invited to initiate the review of the functions of the SCF as part of the review referred to in decision 11/CP.25.

<i>FCCC/CP/2020/4– FCCC/PA/CMA/2020/3</i>	<i>Report of the Standing Committee on Finance</i>
<i>FCCC/CP/2021/10– FCCC/PA/CMA/2021/7</i>	<i>Report of the Standing Committee on Finance</i>
<i>FCCC/CP/2021/10/Add.1– FCCC/PA/CMA/2021/7/Add.1</i>	<i>Report of the Standing Committee on Finance. Addendum. Summary and recommendations of the fourth (2020) Biennial Assessment and Overview of Climate Finance Flows</i>
<i>FCCC/CP/2021/10/Add.2– FCCC/PA/CMA/2021/7/Add.2</i>	<i>Report of the Standing Committee on Finance. Addendum. First report on the determination of the needs of developing country Parties related to implementing the Convention and the Paris Agreement</i>

<sup>21</sup> Decision 1/CP.21, para. 63.

<sup>22</sup> Decision 2/CP.17, para. 121(f).

<sup>23</sup> Decision 4/CP.24, para. 13.

<sup>24</sup> Decision 5/CMA.2, para. 18.

<sup>25</sup> Decision 5/CMA.2, para. 17.



<i>FCCC/CP/2021/10/Add.3– FCCC/PA/CMA/2021/7/Add.3</i>	<i>Report of the Standing Committee on Finance. Addendum. Draft guidance to the operating entities of the Financial Mechanism</i>
<i>FCCC/CP/2021/10/Add.4– FCCC/PA/CMA/2021/7/Add.4</i>	<i>Report of the Standing Committee on Finance. Addendum. Forum of the Standing Committee on Finance on finance for nature-based solutions</i>
<i>Further information</i>	<a href="https://unfccc.int/SCF">https://unfccc.int/SCF</a> ; <a href="https://unfccc.int/documents/302688">https://unfccc.int/documents/302688</a>

(b) Guidance to the Green Climate Fund

48. *Background:* COP 21 decided that the GCF shall serve the Paris Agreement. COP 21 recommended that the CMA shall provide guidance to the GCF on policies, programme priorities and eligibility criteria related to the Agreement for transmission by the COP. It also decided that the guidance to the GCF in relevant decisions of the COP, including those agreed before the adoption of the Agreement, shall apply mutatis mutandis to the Agreement.<sup>26</sup>

49. *Action:* The CMA will be invited to provide guidance to the GCF on policies, programme priorities and eligibility criteria related to the Paris Agreement for transmission by the COP.

<i>FCCC/CP/2020/5</i>	<i>Report of the Green Climate Fund to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/CP/2021/8</i>	<i>Report of the Green Climate Fund to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/CP/2021/10/Add.3– FCCC/PA/CMA/2021/7/Add.3</i>	<i>Report of the Standing Committee on Finance. Addendum. Draft guidance to the operating entities of the Financial Mechanism</i>
<i>Further information</i>	<a href="https://unfccc.int/process/bodies/funds-and-financial-entities/green-climate-fund">https://unfccc.int/process/bodies/funds-and-financial-entities/green-climate-fund</a>

(c) Guidance to the Global Environment Facility

50. *Background:* COP 21 decided that the GEF shall serve the Paris Agreement. COP 21 recommended that the CMA shall provide guidance to the GEF on policies, programme priorities and eligibility criteria related to the Agreement for transmission by the COP. It also decided that the guidance to the GEF in relevant decisions of the COP, including those agreed before the adoption of the Agreement, shall apply mutatis mutandis to the Agreement.<sup>27</sup>

51. *Action:* The CMA will be invited to provide guidance to the GEF on policies, programme priorities and eligibility criteria related to the Paris Agreement for transmission by the COP.

<i>FCCC/CP/2020/1 and Add.1</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/CP/2021/9 and Add.1</i>	<i>Report of the Global Environment Facility to the Conference of the Parties. Note by the secretariat</i>
<i>FCCC/CP/2021/10/Add.3– FCCC/PA/CMA/2021/7/Add.3</i>	<i>Report of the Standing Committee on Finance. Addendum. Draft guidance to the operating entities of the Financial Mechanism</i>
<i>Further information</i>	<a href="https://unfccc.int/topics/climate-finance/funds-entities-bodies/global-environment-facility">https://unfccc.int/topics/climate-finance/funds-entities-bodies/global-environment-facility</a>

<sup>26</sup> Decision 1/CP.21, paras. 58, 61 and 62.

<sup>27</sup> Decision 1/CP.21, paras. 58, 61 and 62.

(d) Matters relating to the Adaptation Fund

52. *Background:* CMA 1 decided that the Adaptation Fund shall serve the Paris Agreement under the guidance of, and be accountable to, the CMA with respect to all matters relating to the Paris Agreement, effective 1 January 2019.<sup>28</sup> CMP 14 took note of that decision.<sup>29</sup>

53. CMA 1 invited the CMP to request the Adaptation Fund Board to consider the rules of procedure of the Board, the arrangements of the Adaptation Fund with respect to the Paris Agreement and the implications of the Adaptation Fund receiving the share of proceeds from the activities under Articles 6, 12 and 17 of the Kyoto Protocol when the Adaptation Fund serves the Paris Agreement with a view to forwarding recommendations for consideration at CMA 2. CMA 2 did not complete consideration of this matter.<sup>30</sup> In accordance with rules 10(c) and 16 of the draft rules of procedure being applied, this sub-item has been included in the provisional agenda for this session.

54. *Action:* The CMA will be invited to consider the recommendations of the CMP and to take any action it deems appropriate.

<i>FCCC/KP/CMP/2020/2– FCCC/PA/CMA/2020/2</i>	<i>Report of the Adaptation Fund Board. Note by the Chair of the Adaptation Fund Board</i>
<i>FCCC/KP/CMP/2021/2– FCCC/PA/CMA/2021/4 and Add.1</i>	<i>Report of the Adaptation Fund Board. Note by the Chair of the Adaptation Fund Board</i>
<i>Further information</i>	<a href="https://unfccc.int/Adaptation-Fund">https://unfccc.int/Adaptation-Fund</a>

(e) New collective quantified goal on climate finance

55. *Background:* COP 21 decided that, in accordance with Article 9, paragraph 3, of the Paris Agreement, developed countries intend to continue their existing collective mobilization goal through 2025 in the context of meaningful mitigation actions and transparency on implementation, and that prior to 2025 the CMA shall set a new collective quantified goal from a floor of USD 100 billion per year, taking into account the needs and priorities of developing countries.<sup>31</sup>

56. The CMA decided to initiate at CMA 3, in accordance with Article 9, paragraph 3, of the Paris Agreement, deliberations on setting a new collective quantified goal from a floor of USD 100 billion per year in the context of meaningful mitigation actions and transparency of implementation and taking into account the needs and priorities of developing countries. The CMA agreed to consider in these deliberations the aim to strengthen the global response to the threat of climate change in the context of sustainable development and efforts to eradicate poverty, including by making finance flows consistent with a pathway towards low greenhouse gas emissions and climate-resilient development.<sup>32</sup>

57. *Action:* The CMA will be invited to initiate deliberations on the new collective quantified goal and to take any action it deems appropriate.

(f) Compilation and synthesis of, and summary report on the in-session workshop on, biennial communications of information related to Article 9, paragraph 5, of the Paris Agreement

58. *Background:* CMA 1 requested developed country Parties to submit biennial communications, starting in 2020, containing indicative quantitative and qualitative information related to Article 9, paragraphs 1 and 3, of the Paris Agreement, as applicable, including, as available, projected levels of public financial resources to be provided to developing country Parties, and reiterated that other Parties providing resources are encouraged to communicate biennially such information on a voluntary basis. It also

<sup>28</sup> Decision 13/CMA.1, para. 1.

<sup>29</sup> Decision 1/CMP.14, para. 1.

<sup>30</sup> FCCC/PA/CMA/2019/6, para. 54.

<sup>31</sup> Decision 1/CP.21, para. 53.

<sup>32</sup> Decision 14/CMA.1.

requested the secretariat to establish a dedicated online portal for posting and recording the biennial communications and to prepare a compilation and synthesis of the information included in the biennial communications starting in 2021, to inform the global stocktake.<sup>33</sup>

59. CMA 1 further requested the secretariat to organize biennial in-session workshops beginning the year after the submission of the first biennial communications and to prepare a summary report on each workshop.<sup>34</sup>

60. The CMA decided to consider the compilations and syntheses of the biennial communications and the summary reports on the in-session workshops starting in 2021.<sup>35</sup> It also decided to convene a biennial high-level ministerial dialogue on climate finance beginning in 2021, to be informed, inter alia, by the summary reports on the in-session workshops and the biennial communications.<sup>36</sup>

61. CMA 1 requested the President of the CMA to summarize the deliberations of the dialogue for consideration by the CMA at its succeeding session.<sup>37</sup>

62. *Action:* The CMA will be invited to consider the compilation and synthesis and the summary report on the 2021 in-session workshop.

<i>FCCC/PA/CMA/2021/3</i>	<i>First biennial communications in accordance with Article 9, paragraph 5, of the Paris Agreement. Compilation and synthesis by the secretariat</i>
<i>FCCC/PA/CMA/2021/5</i>	<i>In-session workshop on information to be provided by Parties in accordance with Article 9, paragraph 5, of the Paris Agreement. Summary report by the secretariat</i>
<i>Biennial communications</i>	<a href="https://unfccc.int/Art.9.5-biennial-communications">https://unfccc.int/Art.9.5-biennial-communications</a>
<i>Further information</i>	<a href="https://unfccc.int/topics/climate-finance/workstreams/ex-ante-climate-finance-information-post-2020-article-95-of-the-paris-agreement">https://unfccc.int/topics/climate-finance/workstreams/ex-ante-climate-finance-information-post-2020-article-95-of-the-paris-agreement</a>

63. For information on the informal work undertaken by the CMA 2 Presidency and the incoming CMA 3 Presidency on matters relating to finance, see document FCCC/CP/2021/1, paragraph 3.

## 9. Development and transfer of technologies

### (a) Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network (for 2020 and 2021)

64. *Background:* CMA 1 adopted the technology framework under Article 10, paragraph 4, of the Paris Agreement and took note of the recommendation of the TEC and the CTCN to prepare and submit their joint annual report to both the COP and the CMA,<sup>38</sup> through the subsidiary bodies, on their activities to support the implementation of the Paris Agreement.<sup>39</sup> CMA 2 requested the TEC and the CTCN to include in their joint annual report for 2020 comprehensive information on how they incorporated the guidance contained in the technology framework into their respective workplan and programme of work and to finalize the development of the activities in areas identified by the two bodies for collaboration in 2019–2022.<sup>40</sup>

<sup>33</sup> Decision 12/CMA.1, paras. 2, 4, 6 and 7.

<sup>34</sup> Decision 12/CMA.1, para. 8.

<sup>35</sup> Decision 12/CMA.1, para. 9.

<sup>36</sup> Decision 12/CMA.1, para. 10.

<sup>37</sup> Decision 12/CMA.1, para. 11.

<sup>38</sup> Decision 15/CMA.1, paras. 1 and 4.

<sup>39</sup> In line with decision 1/CP.21, para. 68.

<sup>40</sup> Decision 8/CMA.2, paras. 2–3.

65. Matters relating to this agenda sub-item are considered under the SBSTA and the SBI.<sup>41</sup>

66. *Action:* The CMA will be invited to take any action it deems appropriate on the basis of the recommendations of the SBSTA and the SBI.

<i>FCCC/SB/2020/4</i>	<i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2020</i>
<i>FCCC/SB/2021/5</i>	<i>Joint annual report of the Technology Executive Committee and the Climate Technology Centre and Network for 2021</i>
<i>Further information</i>	<a href="https://unfccc.int/ttclear/">https://unfccc.int/ttclear/</a> and <a href="http://www.ctc-n.org">www.ctc-n.org</a>

(b) Alignment between processes pertaining to the review of the Climate Technology Centre and Network and the periodic assessment referred to in paragraph 69 of decision 1/CP.21

67. *Background:* CMA 1 adopted the scope of and modalities for the periodic assessment of the effectiveness and adequacy of the support provided to the Technology Mechanism in supporting the implementation of the Paris Agreement on matters relating to technology development and transfer in accordance with decision 1/CP.21.<sup>42</sup>

68. CMA 1 requested SBI 51 to initiate the consideration of the alignment between processes pertaining to the review of the CTCN and the periodic assessment referred to in paragraph 67 above with a view to recommending a draft decision for consideration and adoption at CMA 3.<sup>43</sup>

69. Matters relating to this agenda sub-item are considered under the SBI.<sup>44</sup>

70. *Action:* The CMA will be invited to take any action it deems appropriate on the basis of the recommendations of the SBI.

(c) First periodic assessment referred to in paragraph 69 of decision 1/CP.21

71. *Background:* CMA 1 adopted the scope of and modalities for the periodic assessment of the effectiveness and adequacy of the support provided to the Technology Mechanism in supporting the implementation of the Paris Agreement on matters relating to technology development and transfer in accordance with decision 1/CP.21.<sup>45</sup>

72. The CMA decided to initiate the first periodic assessment referred to in paragraph 71 above in 2021 in accordance with the scope and modalities in the annex to decision 16/CMA.1, or as these may be subsequently amended, with a view to completing the first periodic assessment in 2022.<sup>46</sup>

73. *Action:* The CMA will be invited to initiate the first periodic assessment and to take any action it deems appropriate.

## 10. Capacity-building under the Paris Agreement

74. *Background:* CMA 2 decided that the Paris Committee on Capacity-building shall serve the Paris Agreement in accordance with its mandate and terms of reference.<sup>47</sup> The CMA

<sup>41</sup> See documents FCCC/SBSTA/2021/2, paras. 34–36, and FCCC/SBI/2021/9, paras. 74–76.

<sup>42</sup> Decision 16/CMA.1, para. 1 and annex.

<sup>43</sup> Decision 16/CMA.1, para. 6.

<sup>44</sup> See document FCCC/SBI/2021/9, paras. 77–80.

<sup>45</sup> Decision 16/CMA.1, para. 1 and annex.

<sup>46</sup> Decision 16/CMA.1, para. 3.

<sup>47</sup> Decision 3/CMA.2, para. 3.

confirmed that the Committee shall report to both the COP and the CMA through its annual technical progress report.<sup>48</sup>

75. Matters relating to this agenda item are considered under the SBI.<sup>49</sup>

76. *Action:* The CMA will be invited to take any action it deems appropriate on the basis of the recommendations of the SBI.

<i>FCCC/SBI/2020/13</i>	<i>Annual technical progress report of the Paris Committee on Capacity-building</i>
<i>FCCC/SBI/2021/10</i>	<i>Annual technical progress report of the Paris Committee on Capacity-building</i>
<i>Further information</i>	<a href="https://unfccc.int/node/9993">https://unfccc.int/node/9993</a>

## 11. Report of the forum on the impact of the implementation of response measures

77. *Background:* COP 24, CMP 14 and CMA 1 acknowledged that a single forum on the impact of the implementation of response measures covers the work of the COP, the CMP and the CMA on all matters relating to the impact of the implementation of response measures and affirmed that the forum shall report to the COP, the CMP and the CMA.<sup>50</sup>

78. CMA 1 decided that the forum shall provide recommendations for consideration by the subsidiary bodies with a view to them recommending actions for consideration and adoption by the COP, the CMP and the CMA.<sup>51</sup>

79. Matters relating to this agenda item are considered under the SBSTA and the SBI.<sup>52</sup>

80. *Action:* The CMA will be invited to take any action it deems appropriate on the basis of the recommendations of the SBSTA and the SBI.

<i>Further information</i>	<a href="https://unfccc.int/topics/mitigation/workstreams/response-measures">https://unfccc.int/topics/mitigation/workstreams/response-measures</a>
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## 12. Matters relating to Article 6 of the Paris Agreement

### (a) Guidance on cooperative approaches referred to in Article 6, paragraph 2, of the Paris Agreement

81. *Background:* COP 21 requested the SBSTA to develop and recommend guidance on cooperative approaches referred to in Article 6, paragraph 2, of the Paris Agreement for consideration and adoption at CMA 1, including guidance to ensure that double counting is avoided on the basis of a corresponding adjustment by Parties for both anthropogenic emissions by sources and removals by sinks covered by their NDCs under the Paris Agreement.<sup>53</sup>

82. CMA 1 requested the SBSTA to continue consideration of the matter with a view to forwarding a draft decision for consideration and adoption at CMA 2.<sup>54</sup> CMA 2 noted the draft decision texts on this matter prepared by the President, while recognizing that those draft texts do not represent a consensus among Parties, and requested the SBSTA to continue

<sup>48</sup> Decision 3/CMA.2, para. 8.

<sup>49</sup> See document FCCC/SBI/2021/9, paras. 103–104.

<sup>50</sup> Decisions 7/CP.24, 3/CMP.14 and 7/CMA.1, respectively.

<sup>51</sup> Decision 7/CMA.1, para. 12.

<sup>52</sup> See documents FCCC/SBSTA/2021/2, paras. 56–60, and FCCC/SBI/2021/9, paras. 105–109.

<sup>53</sup> Decision 1/CP.21, para. 36.

<sup>54</sup> Decision 8/CMA.1, para. 3.

consideration of the matter with a view to recommending a draft decision for consideration and adoption at CMA 3.<sup>55</sup>

83. Matters relating to this agenda sub-item are considered under the SBSTA.<sup>56</sup>

84. *Action:* The CMA will be invited to take any action it deems appropriate on the basis of the recommendations of the SBSTA.

<i>Further information</i>	<a href="https://unfccc.int/process/the-paris-agreement/cooperative-implementation">https://unfccc.int/process/the-paris-agreement/cooperative-implementation</a>
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(b) Rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement

85. *Background:* COP 21 requested the SBSTA to develop and recommend rules, modalities and procedures for the mechanism established by Article 6, paragraph 4, of the Paris Agreement for consideration and adoption at CMA 1.<sup>57</sup>

86. CMA 1 requested the SBSTA to continue consideration of the matter with a view to forwarding a draft decision for consideration and adoption at CMA 2.<sup>58</sup> CMA 2 noted the draft decision texts on this matter prepared by the President, while recognizing that those draft texts do not represent a consensus among Parties, and requested the SBSTA to continue consideration of the matter with a view to recommending a draft decision for consideration and adoption at CMA 3.<sup>59</sup>

87. Matters relating to this agenda sub-item are considered under the SBSTA.<sup>60</sup>

88. *Action:* The CMA will be invited to take any action it deems appropriate on the basis of the recommendations of the SBSTA.

<i>Further information</i>	<a href="https://unfccc.int/process/the-paris-agreement/cooperative-implementation">https://unfccc.int/process/the-paris-agreement/cooperative-implementation</a>
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(c) Work programme under the framework for non-market approaches referred to in Article 6, paragraph 8, of the Paris Agreement

89. *Background:* COP 21 requested the SBSTA to undertake a work programme under the framework for non-market approaches to sustainable development referred to in Article 6, paragraph 8, of the Paris Agreement with the objective of considering how to enhance linkages and create synergy between, inter alia, mitigation, adaptation, finance, technology transfer and capacity-building and how to facilitate the implementation and coordination of non-market approaches. It also requested the SBSTA to recommend a draft decision on the work programme, taking into account the views of Parties, for consideration and adoption at CMA 1.<sup>61</sup>

90. CMA 1 requested the SBSTA to continue consideration of the matter with a view to forwarding a draft decision for consideration and adoption at CMA 2.<sup>62</sup> CMA 2 noted the draft decision texts on this matter prepared by the President, while recognizing that those draft texts do not represent a consensus among Parties, and requested the SBSTA to continue consideration of the matter with a view to recommending a draft decision for consideration and adoption at CMA 3.<sup>63</sup>

91. Matters relating to this agenda sub-item are considered under the SBSTA.<sup>64</sup>

<sup>55</sup> Decision 9/CMA.2, paras. 1–2.

<sup>56</sup> See document FCCC/SBSTA/2021/2, paras. 91–96.

<sup>57</sup> Decision 1/CP.21, para. 38.

<sup>58</sup> Decision 8/CMA.1, para. 3.

<sup>59</sup> Decision 9/CMA.2, paras. 1–2.

<sup>60</sup> See document FCCC/SBSTA/2021/2, paras. 91–93 and 97–99.

<sup>61</sup> Decision 1/CP.21, paras. 39–40.

<sup>62</sup> Decision 8/CMA.1, para. 3.

<sup>63</sup> Decision 9/CMA.2, paras. 1–2.

<sup>64</sup> See document FCCC/SBSTA/2021/2, paras. 91–93 and 100–102.

92. *Action:* The CMA will be invited to take any action it deems appropriate on the basis of the recommendations of the SBSTA.

<i>Further information</i>	<a href="https://unfccc.int/process/the-paris-agreement/cooperative-implementation">https://unfccc.int/process/the-paris-agreement/cooperative-implementation</a>
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93. For information on the informal work undertaken by the CMA 2 Presidency and the incoming CMA 3 Presidency on matters relating to Article 6 of the Paris Agreement, see document FCCC/CP/2021/1, paragraph 3.

### 13. Report of the committee to facilitate implementation and promote compliance referred to in Article 15, paragraph 2, of the Paris Agreement (for 2020 and 2021)

94. *Background:* The Paris Agreement Implementation and Compliance Committee was established under Article 15, paragraphs 1–2, of the Paris Agreement. CMA 1 adopted its modalities and procedures,<sup>65</sup> according to which the Committee shall report annually to the CMA<sup>66</sup> and develop rules of procedure with a view to recommending them for consideration and adoption at CMA 3.<sup>67</sup>

95. *Action:* The CMA will be invited to consider the report and to take any action it deems appropriate. The CMA will also be invited to elect members and alternate members of the Committee.

<i>FCCC/PA/CMA/2020/1</i>	<i>Annual report of the Paris Agreement Implementation and Compliance Committee to the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement</i>
<i>FCCC/PA/CMA/2021/6</i>	<i>Annual report of the Paris Agreement Implementation and Compliance Committee to the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement</i>
<i>Further information</i>	<a href="https://unfccc.int/process-and-meetings/bodies/constituted-bodies/committee-to-facilitate-implementation-and-promote-compliance-referred-to-in-article-15-paragraph-2">https://unfccc.int/process-and-meetings/bodies/constituted-bodies/committee-to-facilitate-implementation-and-promote-compliance-referred-to-in-article-15-paragraph-2</a> and <a href="https://unfccc.int/process-and-meetings/bodies/election-and-membership">https://unfccc.int/process-and-meetings/bodies/election-and-membership</a>

### 14. Stocktake on financial support and means of implementation for alternative policy approaches such as joint mitigation and adaptation approaches for the integral and sustainable management of forests

96. *Background:* A proposal was received from the Plurinational State of Bolivia on 19 July 2021 to include this matter in the provisional agenda for this session. In accordance with rule 10(d) of the draft rules of procedure being applied, this item has been included in the provisional agenda.

97. *Action:* The CMA will be invited to consider this matter and to take any action it deems appropriate.

<i>Further information</i>	<a href="https://unfccc.int/documents/302689">https://unfccc.int/documents/302689</a>
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<sup>65</sup> Decision 20/CMA.1.

<sup>66</sup> Article 15, para.3, of the Paris Agreement and decision 20/CMA.1, annex, para.36.

<sup>67</sup> Decision 20/CMA.1, annex, paras. 17–18.

## 15. Special needs and special circumstances of Africa

98. *Background:* A proposal was received from Gabon on behalf of the African Group on 17 August 2021 to include this matter in the provisional agenda for this session. In accordance with rule 10(d) of the draft rules of procedure being applied, this agenda item has been included in the provisional agenda.

99. *Action:* The CMA will be invited to consider this item and to take any action it deems appropriate.

<i>Further information</i>	<a href="https://unfccc.int/documents/302688">https://unfccc.int/documents/302688</a>
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## 16. Matters relating to adaptation

- (a) Reports of the Adaptation Committee (2019, 2020, 2021, and review of the Adaptation Committee)
- (b) The global goals on adaptation
- (c) Recognition of adaptation efforts of developing country Parties
- (d) Enhancing the implementation of adaptation action taking into account the adaptation communication referred to in Article 7, paragraph 10
- (e) The adequacy and effectiveness of adaptation and support provided for adaptation

100. *Background:* A proposal was received from Gabon on behalf of the African Group on 17 August 2021 to include these matters in the provisional agenda for this session. In accordance with rule 10(d) of the draft rules of procedure being applied, this agenda item has been included in the provisional agenda.

101. *Action:* The CMA will be invited to consider this item and to take any action it deems appropriate.

<i>Further information</i>	<a href="https://unfccc.int/documents/302688">https://unfccc.int/documents/302688</a>
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## 17. Administrative, financial and institutional matters

- (a) Audit report and financial statements for 2019 and 2020
- (b) Budget performance for the bienniums 2018–2019 and 2020–2021
- (c) Programme budget for the biennium 2022–2023

102. *Background:* Matters relating to these agenda sub-items are considered under the SBI.<sup>68</sup>

103. *Action:* The CMA will be invited to take any action it deems appropriate on the basis of the recommendations of the SBI.

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<sup>68</sup> See document FCCC/SBI/2021/9, paras. 123–130.



## 18. High-level segment

- (a) Statements by Parties
- (b) Statements by observer organizations

104. Information on the high-level segment can be found in the annotations to the provisional agenda for COP 26.<sup>69</sup>

## 19. Other matters

105. Any other matters for the attention of the CMA will be taken up under this agenda item.

## 20. Conclusion of the session

- (a) Adoption of the draft report of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement on its third session

106. *Background:* A draft report on the session will be prepared for consideration and adoption by the CMA at the end of the session.

107. *Action:* The CMA will be invited to consider and adopt the draft report and to authorize the Rapporteur to complete the report after the session under the guidance of the President and with the assistance of the secretariat.

- (b) Closure of the session

108. The President will declare the session closed.

## Abbreviations and acronyms

CMA	Conference of the Parties serving as the meeting of the Parties to the Paris Agreement
CMP	Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
COP	Conference of the Parties
CTCN	Climate Technology Centre and Network
GCF	Green Climate Fund
GEF	Global Environment Facility
NDC	nationally determined contribution
SB	sessions of the subsidiary bodies
SBI	Subsidiary Body for Implementation
SBSTA	Subsidiary Body for Scientific and Technological Advice
SCF	Standing Committee on Finance
TEC	Technology Executive Committee
WIM	Warsaw International Mechanism for Loss and Damage associated with Climate Change Impacts

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<sup>69</sup> FCCC/CP/2021/1, paras. 10–11 and 122–129.