

## ADVANCE VERSION



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**Conference of the Parties serving as the meeting  
of the Parties to the Kyoto Protocol**  
**Sixteenth session**  
**Glasgow, 31 October to 12 November 2021**

Item 2(a) of the provisional agenda

**Organizational matters**  
**Adoption of the agenda**

### **Provisional agenda and annotations<sup>†</sup>**

#### **Note by the Executive Secretary<sup>1</sup>**

#### **I. Provisional agenda**

1. Opening of the session.
2. Organizational matters:
  - (a) Adoption of the agenda;
  - (b) Election of additional officers;
  - (c) Organization of work, including for the sessions of the subsidiary bodies;
  - (d) Approval of the report on credentials;
  - (e) Status of ratification of the Doha Amendment to the Kyoto Protocol.
3. Reports of the subsidiary bodies:
  - (a) Report of the Subsidiary Body for Scientific and Technological Advice;
  - (b) Report of the Subsidiary Body for Implementation.
4. Reporting from and review of Parties included in Annex I:<sup>2</sup>
  - (a) National communications;
  - (b) Annual compilation and accounting report for the second commitment period for Annex B Parties under the Kyoto Protocol (for 2019, 2020 and 2021);
  - (c) Date of completion of the expert review process under Article 8 of the Kyoto Protocol for the second commitment period.
5. Matters relating to the clean development mechanism.
6. Matters relating to joint implementation.

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<sup>†</sup> This document was scheduled for publication after the standard publication date owing to circumstances beyond the submitter's control.

<sup>1</sup> The [abbreviations and acronyms list](#) can be found at the end of the document.

<sup>2</sup> The term "Party included in Annex I" is defined in Article 1, para. 7, of the Kyoto Protocol.

7. Matters relating to the Adaptation Fund:
  - (a) Report of the Adaptation Fund Board (for 2020 and 2021);
  - (b) Fourth review of the Adaptation Fund.
8. Capacity-building under the Kyoto Protocol.
9. Report of the forum on the impact of the implementation of response measures.
10. Report of the Compliance Committee (for 2020 and 2021).
11. Report on the high-level ministerial round table on increased ambition of Kyoto Protocol commitments.
12. The third commitment period of Annex B Parties to the Kyoto Protocol in accordance with its Article 3, paragraph 9, and the entry into force and completion of the Kyoto Protocol second commitment period.
13. Administrative, financial and institutional matters:
  - (a) Audit report and financial statements for 2019 and 2020;
  - (b) Budget performance for the bienniums 2018–2019 and 2020–2021;
  - (c) Programme budget for the biennium 2022–2023.
14. High-level segment:
  - (a) Statements by Parties;
  - (b) Statements by observer organizations.
15. Other matters.
16. Conclusion of the session:
  - (a) Adoption of the draft report of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on its sixteenth session;
  - (b) Closure of the session.

## **II. Guidance from the Bureau of the governing bodies**

1. Information on the guidance from the Bureau in the light of the coronavirus disease 2019 pandemic can be found in the annotations to the provisional agenda for COP 26.<sup>3</sup>

## **III. Proposed organization of the session: overview**

2. Information on the organization of the session can be found in the annotations to the provisional agenda for COP 26.<sup>4</sup>

## **IV. Annotations to the provisional agenda**

### **1. Opening of the session**

3. CMP 16 will be opened by the President of COP 26, CMP 16 and CMA 3, Alok Sharma (United Kingdom of Great Britain and Northern Ireland).

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<sup>3</sup> FCCC/CP/2021/1, paras. 1–4.

<sup>4</sup> FCCC/CP/2021/1, paras. 5–11 and 15.

## 2. Organizational matters

### (a) Adoption of the agenda

4. *Background:* The secretariat, in agreement with the President of CMP 15, Carolina Schmidt (Chile), drafted the provisional agenda for CMP 16 after consultations with the Bureau of the governing bodies.

5. *Action:* The CMP will be invited to adopt its agenda.

<i>FCCC/KP/CMP/2021/1</i>	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
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### (b) Election of additional officers

6. *Background:* If any member of the Bureau represents a State that is not a Party to the Kyoto Protocol, consultations will be required to identify a nominee representing a Party to the Kyoto Protocol to replace such a member in accordance with Article 13, paragraph 3, of the Kyoto Protocol.

7. Parties are invited to recall decision 3/CP.23 and to give active consideration to the nomination of women for elective posts in any body established under the Convention or its Kyoto Protocol.

8. *Action:* The CMP will be invited, as necessary, to elect additional members of the Bureau of COP 26, CMP 16 and CMA 3 to replace any members representing States that are not Parties to the Kyoto Protocol.

<i>Further information</i>	<a href="https://unfccc.int/process-and-meetings/bodies/election-and-membership">https://unfccc.int/process-and-meetings/bodies/election-and-membership</a>
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### (c) Organization of work, including for the sessions of the subsidiary bodies

9. *Action:* The CMP will be invited to agree on the organization of work for the session and the referral of items to the subsidiary bodies, as appropriate, as indicated under the relevant agenda items.

10. Guided by the principles of openness, transparency and inclusiveness, the CMP will be invited to organize its work in a manner that ensures that the mandates for the session are addressed while being flexible enough to respond to changing circumstances and new developments in the negotiations.

<i>FCCC/KP/CMP/2021/1</i>	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
<i>FCCC/CP/2021/1</i>	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
<i>FCCC/PA/CMA/2021/1</i>	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
<i>FCCC/SBSTA/2021/2</i>	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>
<i>FCCC/SBI/2021/9</i>	<i>Provisional agenda and annotations. Note by the Executive Secretary</i>

### (d) Approval of the report on credentials

11. *Background:* The Bureau will examine the credentials submitted by Parties to the Kyoto Protocol and submit its report on credentials for approval by the CMP.<sup>5</sup>

<sup>5</sup> See decision 36/CMP.1. For further information on the submission of credentials, see document FCCC/CP/2021/1, paras. 33–34.

12. *Action:* The CMP will be invited to approve the report on credentials of the representatives of Parties attending CMP 16. Representatives may participate provisionally, pending this action.

(e) Status of ratification of the Doha Amendment to the Kyoto Protocol

13. *Background:* The Doha Amendment to the Kyoto Protocol entered into force on 31 December 2020. The secretariat will provide an oral report on the instruments of ratification, acceptance or approval received by the Depositary in respect of the Doha Amendment.<sup>6</sup>

14. *Action:* The CMP may wish to take note of the information provided regarding the status of ratification of the Doha Amendment and to invite Parties intending to do so to expedite the deposit of their instruments of acceptance of the Doha Amendment.

### 3. Reports of the subsidiary bodies

(a) Report of the Subsidiary Body for Scientific and Technological Advice

15. *Background:* The Chair of the SBSTA will report on the work conducted at SBSTA 52–55, including on any recommendations of draft decisions and conclusions for consideration and adoption at CMP 16 arising from that work and on any other issues mandated for consideration by the SBSTA.

16. *Action:* The CMP will be invited to take note of the progress in the work of the SBSTA and to consider the recommended draft decisions and conclusions for adoption.

(b) Report of the Subsidiary Body for Implementation

17. *Background:* The Chair of the SBI will report on the work conducted at SBI 52–55, including on any recommendations of draft decisions and conclusions for consideration and adoption at CMP 16 arising from that work and on any other issues mandated for consideration by the SBI.

18. *Action:* The CMP will be invited to take note of the progress in the work of the SBI and to consider the recommended draft decisions and conclusions for adoption.

### 4. Reporting from and review of Parties included in Annex I

(a) National communications

19. *Background:* National communications are considered under the SBI.<sup>7</sup>

20. *Action:* The CMP will be invited to take any action it deems appropriate on the basis of the recommendations of the SBI.

(b) Annual compilation and accounting report for the second commitment period for Annex B Parties under the Kyoto Protocol (for 2019, 2020 and 2021)

21. *Background:* CMP 1 requested the secretariat to publish an annual compilation and accounting report and to forward it to the CMP, the Compliance Committee and each Party concerned.<sup>8</sup>

22. *Action:* The CMP will be invited to take note of the information contained in the reports for 2019, 2020 and 2021.

FCCC/KP/CMP/2019/6 and Add.1	<i>Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2019. Note by the secretariat</i>
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<sup>6</sup> See [https://treaties.un.org/Pages/ViewDetails.aspx?src=IND&mtmsg\\_no=XXVII-7-c&chapter=27&clang=en](https://treaties.un.org/Pages/ViewDetails.aspx?src=IND&mtmsg_no=XXVII-7-c&chapter=27&clang=en).

<sup>7</sup> See document FCCC/SBI/2021/9, paras. 18–20.

<sup>8</sup> Decision 13/CMP.1, para. 4.

<i>FCCC/KP/CMP/2020/5 and Add.1</i>	<i>Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2020. Note by the secretariat</i>
<i>FCCC/KP/CMP/2021/3 and Add.1</i>	<i>Annual compilation and accounting report for Annex B Parties under the Kyoto Protocol for 2021. Note by the secretariat</i>
<i>Further information</i>	<a href="https://unfccc.int/process-and-meetings/transparency-and-reporting/reporting-and-review-under-the-kyoto-protocol/second-commitment-period/compilation-and-accounting-ca-reports">https://unfccc.int/process-and-meetings/transparency-and-reporting/reporting-and-review-under-the-kyoto-protocol/second-commitment-period/compilation-and-accounting-ca-reports</a>

(c) Date of completion of the expert review process under Article 8 of the Kyoto Protocol for the second commitment period

23. *Background:* For the purpose of fulfilling commitments under Article 3, paragraph 1, of the Kyoto Protocol, a Party may, until the hundredth day after the date set by the CMP for the completion of the expert review process under Article 8 of the Kyoto Protocol for the last year of the commitment period (true-up period), continue to acquire, and other Parties may transfer to such Party, emission reduction units under Articles 6, 12 and 17 of the Kyoto Protocol from the preceding commitment period, provided that the eligibility of any such Party has not been suspended.<sup>9,10</sup> The second commitment period of the Kyoto Protocol ended on 31 December 2020, and the annual submission of the greenhouse gas inventory for the last year of the commitment period (2020) is due by 15 April 2022. The submission is followed by the expert review process under Article 8 of the Kyoto Protocol, which is completed with the publication of the inventory review report for the last year of the second commitment period (at the latest by mid-2023).

24. *Action:* The CMP will be invited to refer this sub-item to the SBI for its consideration and to take any action it deems appropriate on the basis of the recommendations of the SBI with regard to the date of completion of the expert review process.

## 5. Matters relating to the clean development mechanism

25. *Background:* The CDM Executive Board submits an annual report on its activities to the CMP.<sup>11</sup>

26. *Action:* The CMP will be invited to consider the annual reports of the Executive Board and to provide guidance relating to the CDM. The CMP will also be invited to take any action it deems appropriate on the basis of the recommendations of the SBI.<sup>12</sup> The CMP will further be invited to elect members and alternate members of the Executive Board.

<i>FCCC/KP/CMP/2020/1</i>	<i>Annual report of the Executive Board of the clean development mechanism to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol</i>
<i>FCCC/KP/CMP/2021/4</i>	<i>Annual report of the Executive Board of the clean development mechanism to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol</i>

<sup>9</sup> In accordance with decision 27/CMP.1, annex, chap. XV, para. 4.

<sup>10</sup> Decision 27/CMP.1, annex, chap. XIII.

<sup>11</sup> Decision 3/CMP.1, annex, paras. 2–5, states that the CDM Executive Board shall report on its activities at each session of the CMP and the CMP shall review the annual reports, provide guidance and take decisions, as appropriate. Following decisions 1/CMP.2, para. 11, and 2/CMP.3, para. 7, the annual report of the Board to the CMP covers the period from the previous session of the CMP to the Board meeting that immediately precedes the one held in conjunction with the session of the CMP.

<sup>12</sup> See document FCCC/SBI/2021/9, paras. 43–46.

<i>Further information</i>	<a href="https://cdm.unfccc.int/">https://cdm.unfccc.int/</a> and <a href="https://unfccc.int/process-and-meetings/bodies/election-and-membership">https://unfccc.int/process-and-meetings/bodies/election-and-membership</a>
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## 6. Matters relating to joint implementation

27. *Background:* The JISC submits an annual report on its activities to the CMP.<sup>13</sup>

28. *Action:* The CMP will be invited to consider the annual reports of the JISC and to provide guidance relating to joint implementation. The CMP will also be invited to elect members and alternate members of the JISC.

<i>FCCC/KP/CMP/2020/4</i>	<i>Annual report of the Joint Implementation Supervisory Committee to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol</i>
<i>FCCC/KP/CMP/2021/6</i>	<i>Annual report of the Joint Implementation Supervisory Committee to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol</i>
<i>Further information</i>	<a href="https://ji.unfccc.int/Sup_Committee/index.html">https://ji.unfccc.int/Sup_Committee/index.html</a> and <a href="https://unfccc.int/process-and-meetings/bodies/election-and-membership">https://unfccc.int/process-and-meetings/bodies/election-and-membership</a>

## 7. Matters relating to the Adaptation Fund

### (a) Report of the Adaptation Fund Board (for 2020 and 2021)

29. *Background:* The Adaptation Fund Board submits an annual report on its activities to the CMP.<sup>14</sup>

30. *Action:* The CMP will be invited to consider the reports of the Adaptation Fund Board, to provide guidance to it and to take any action it deems appropriate. It will also be invited to elect members and alternate members of the Adaptation Fund Board.

<i>FCCC/KP/CMP/2020/2– FCCC/PA/CMA/2020/2</i>	<i>Report of the Adaptation Fund Board. Note by the Chair of the Adaptation Fund Board</i>
<i>FCCC/KP/CMP/2021/2– FCCC/PA/CMA/2021/4 and Add.1</i>	<i>Report of the Adaptation Fund Board. Note by the Chair of the Adaptation Fund Board</i>
<i>Further information</i>	<a href="https://unfccc.int/Adaptation-Fund">https://unfccc.int/Adaptation-Fund</a> and <a href="https://unfccc.int/process-and-meetings/bodies/election-and-membership">https://unfccc.int/process-and-meetings/bodies/election-and-membership</a>

### (b) Fourth review of the Adaptation Fund

31. *Background:* CMP 13 requested the SBI to initiate the fourth review of the Adaptation Fund in June 2020, in accordance with the terms of reference contained in the annex to decision 1/CMP.12, or as amended, and to report back to its governing body in 2021.<sup>15</sup>

32. Matters relating to this agenda sub-item are considered under the SBI.<sup>16</sup>

33. *Action:* The CMP will be invited to take any action it deems appropriate on the basis of the recommendations of the SBI.

<sup>13</sup> In accordance with decision 9/CMP.1, annex, the JISC is to report on its activities at each session of the CMP. In exercising its authority over the JISC, the CMP shall review the annual reports, provide guidance and take decisions, as appropriate.

<sup>14</sup> Decision 1/CMP.3, para. 5(l).

<sup>15</sup> Decision 2/CMP.13, para. 9.

<sup>16</sup> See document FCCC/SBI/2021/9, paras. 88–91.

## 8. Capacity-building under the Kyoto Protocol

34. *Background:* CMP 12 requested SBI 52 to initiate the fourth comprehensive review of the implementation of the framework for capacity-building in developing countries under the Kyoto Protocol with a view to completing the review in 2021.<sup>17</sup>

35. CMP 13 requested SBI 52 to also initiate the fifth review of the implementation of the framework for capacity-building in countries with economies in transition under the Kyoto Protocol with a view to completing the review at CMP 16.<sup>18</sup>

36. Matters relating to this agenda item are considered under the SBI.<sup>19</sup>

37. *Action:* The CMP will be invited to take any action it deems appropriate on the basis of the recommendations of the SBI.

## 9. Report of the forum on the impact of the implementation of response measures

38. *Background:* COP 24, CMP 14 and CMA 1 acknowledged that a single forum on the impact of the implementation of response measures covers the work of the COP, the CMP and the CMA on all matters relating to the impact of the implementation of response measures and affirmed that the forum shall report to the COP, the CMP and the CMA.<sup>20</sup>

39. CMA 1 decided that the forum shall provide recommendations for consideration by the subsidiary bodies with a view to them recommending actions for consideration and adoption by the COP, the CMP and the CMA.<sup>21</sup>

40. Matters relating to this agenda item are considered under the SBSTA and the SBI.<sup>22</sup>

41. *Action:* The CMP will be invited to take any action it deems appropriate on the basis of the recommendations of the SBSTA and the SBI.

<i>Further information</i>	<a href="https://unfccc.int/topics/mitigation/workstreams/response-measures">https://unfccc.int/topics/mitigation/workstreams/response-measures</a>
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## 10. Report of the Compliance Committee (for 2020 and 2021)

42. *Background:* The Compliance Committee submits an annual report on its activities to the CMP.<sup>23</sup>

43. *Action:* The CMP will be invited to consider the reports of the Compliance Committee and to take any action it deems appropriate. The CMP will also be invited to elect members and alternate members of the Compliance Committee.

<i>FCCC/KP/CMP/2020/3</i>	<i>Annual report of the Compliance Committee to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol</i>
<i>FCCC/KP/CMP/2021/5</i>	<i>Annual report of the Compliance Committee to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol</i>

<sup>17</sup> Decision 6/CMP.12, para. 6.

<sup>18</sup> Decision 4/CMP.13, para. 4.

<sup>19</sup> See document FCCC/SBI/2021/9, paras. 97–102.

<sup>20</sup> Decisions 7/CP.24, 3/CMP.14 and 7/CMA.1, respectively.

<sup>21</sup> Decision 7/CMA.1, para. 12.

<sup>22</sup> See documents FCCC/SBSTA/2021/2, paras. 56–60, and FCCC/SBI/2021/9, paras. 105–109.

<sup>23</sup> Decision 27/CMP.1, annex, chap. III, para 2(a), states that the plenary of the Compliance Committee shall report on the activities of the Committee at each ordinary session of the CMP.

<i>Further information</i>	<a href="https://unfccc.int/Compliance-Committee-CC">https://unfccc.int/Compliance-Committee-CC</a> and <a href="https://unfccc.int/process-and-meetings/bodies/election-and-membership">https://unfccc.int/process-and-meetings/bodies/election-and-membership</a>
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## 11. Report on the high-level ministerial round table on increased ambition of Kyoto Protocol commitments

44. *Background:* CMP 8 adopted the Doha Amendment to the Kyoto Protocol, establishing a second commitment period of the Kyoto Protocol.<sup>24</sup> A high-level ministerial round table was held on 5 June 2014, at which information was considered on the intention of Parties included in Annex I with commitments in the second commitment period to increase the ambition of their mitigation commitments. A report on the round table was prepared for consideration at CMP 10.<sup>25</sup>

45. CMP 10 initiated but could not conclude consideration of this matter.<sup>26</sup> Pursuant to rules 10(c) and 16 of the draft rules of procedure being applied, the matter was included in the agendas for CMP 11 to 15. There was no consensus on the matter at CMP 15. In accordance with rules 10(c) and 16 of the draft rules of procedure being applied, this item has been included in the provisional agenda for this session.

46. *Action:* The CMP will be invited to conclude consideration of this matter and to take any action it deems appropriate.

## 12. The third commitment period of Annex B Parties to the Kyoto Protocol in accordance with its Article 3, paragraph 9, and the entry into force and completion of the Kyoto Protocol second commitment period

47. *Background:* A proposal was received from Gabon on behalf of the African Group on 16 August 2021 to include this matter in the provisional agenda for this session. In accordance with rule 10(d) of the draft rules of procedure being applied, this item has been included in the provisional agenda.

48. *Action:* The CMP will be invited to consider this item and to take any action it deems appropriate.

<i>Further information</i>	<a href="https://unfccc.int/documents/302688">https://unfccc.int/documents/302688</a>
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## 13. Administrative, financial and institutional matters

- (a) Audit report and financial statements for 2019 and 2020
- (b) Budget performance for the bienniums 2018–2019 and 2020–2021
- (c) Programme budget for the biennium 2022–2023

49. *Background:* Matters relating to these agenda sub-items are considered under the SBI.<sup>27</sup>

50. *Action:* The CMP will be invited to take any action it deems appropriate on the basis of the recommendations of the SBI.

<sup>24</sup> Decision 1/CMP.8, para. 1.

<sup>25</sup> FCCC/KP/CMP/2014/3.

<sup>26</sup> FCCC/KP/CMP/2014/9, paras. 66–72.

<sup>27</sup> See document FCCC/SBI/2021/9, paras. 123–128.



## 14. High-level segment

- (a) Statements by Parties
- (b) Statements by observer organizations

51. Information on the high-level segment can be found in the annotations to the provisional agenda for COP 26.<sup>28</sup>

## 15. Other matters

52. Any other matters for the attention of the CMP will be taken up under this agenda item.

## 16. Conclusion of the session

- (a) Adoption of the draft report of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on its sixteenth session

53. *Background:* A draft report on the session will be prepared for consideration and adoption by the CMP at the end of the session.

54. *Action:* The CMP will be invited to consider and adopt the draft report and to authorize the Rapporteur to complete the report after the session under the guidance of the President and with the assistance of the secretariat.

- (b) Closure of the session

55. The President will declare the session closed.

## Abbreviations and acronyms

Annex B Party	Party included in Annex I with a commitment inscribed in the third column of Annex B in the Doha Amendment to the Kyoto Protocol
CDM	clean development mechanism
CMA	Conference of the Parties serving as the meeting of the Parties to the Paris Agreement
CMP	Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
COP	Conference of the Parties
JISC	Joint Implementation Supervisory Committee
SB	sessions of the subsidiary bodies
SBI	Subsidiary Body for Implementation
SBSTA	Subsidiary Body for Scientific and Technological Advice

<sup>28</sup> FCCC/CP/2021/1, paras. 10–11 and 122–129.