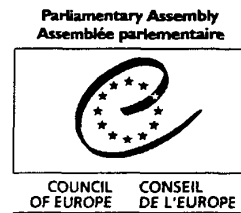


## Parliamentary Assembly Assemblée parlementaire



Doc. 10380  
21 December 2004

### Genetically Modified Organisms (GMOs)

Report  
Committee on the Environment, Agriculture and Local and Regional Affairs  
Rapporteur: Mr Wolfgang Wodarg, Germany, Socialist Group

#### *Summary*

The production of genetically modified organisms (GMOs) in the farming and food sectors and the controversy surrounding them have grown in the last ten years. Opinions differ between the producer countries that are favourable (chiefly the United States) and countries that are hostile (above all in Europe), between developed and developing countries and among farmers, scientists and consumers for example. Some advocate unrestricted distribution while others favour the precautionary principle. Claims that they carry no health risk are countered by others that the ecological risks are unknown.

It is true that there are question marks remaining over the development of GM crops, scientific research in this area, coexistence with traditional crops, consumers' freedom of choice, free competition, international trade, patents, the needs of developing countries, proper public information (including through compulsory labelling), the animal feed chain, the precautionary principle and the notion of sustainability.

This report takes stock of the issues and calls *inter alia* for consumers' and producers' freedom of choice, the preservation of sustainable development in agriculture, the precautionary principle, objective scientific debate and public participation. It advocates stricter regulation of labelling, liability, good farming practice and GM-free zones and recommends that parliaments ensure that these proposals are acted upon.

**I. Draft resolution**

1. As the production and use of genetically modified organisms (GMOs) increases world-wide, the Parliamentary Assembly recognises that clear political rules which pay due regard to the precautionary principle are needed in order to ensure that new and traditional agricultural production methods are able to co-exist in the member states. The purpose of these rules must be to safeguard in the long term the ecological and economic fundamentals of human life and the biodiversity of our living environment.
2. The Assembly notes that biotechnological research and applications in the sphere of agriculture have contributed considerably to new knowledge about plants and animals. Major improvements have been achieved in breeding methods. However, a distinction has to be made between biotechnological methods in general and the specific method of gene transfer enabling scientists to produce GMOs.
3. It also notes that the production and use of GMOs is the subject of extreme controversy in Europe and that there is as yet no reliable information concerning their medium- and long-term environmental effects.
4. Huge investments have been poured into genetic applications. In addition to the large number of plant varieties approved world-wide, transgenic fish and genetically modified micro-organisms are about to enter the market.
5. According to the GMOs producers, the expected benefits range from the improvement of agronomic characteristics and lowering of production costs, with an associated increase in profits, to improved quality foods. Research is also taking place into the biological elimination of contaminants. Those new technologies should allow to meet better the needs of the developing countries.
6. The Assembly believes that although green biotechnology offers a broad spectrum of potential benefits, many risks - for example horizontal gene transfer - have not been sufficiently evaluated. While the risks to health associated with current GMOs can be regarded as slight, provided that safety controls prove effective, future developments with modified output characteristics will entail new and different risks that will have to be assessed on an individual basis.
7. Long term effects on biodiversity are difficult to estimate, particularly as there is no generally recognised definition of "ecological damage". The Assembly emphasizes that there are currently no uniform standards for the assessment of mandatory monitoring of crops in cultivation. Long-term monitoring is obligatory to allow the ecological effects of GMOs to be assessed.
8. Too little attention has been paid to date to the breeding of transgenic animals and genetically modified micro-organisms. Experiments with transgenic domestic animals have been underway for many decades. The objectives are almost the same as those of conventional breeding methods: increasing productivity, particularly in the sphere of agriculture.
9. In addition to the health risks to humans (allergies, nutritional effects, zoonoses) which so far have hardly been examined, biotechnological modifications to domestic animals involve serious health effects for the animals themselves. The question arises as to whether it is ethically justifiable to develop transgenic animals for economic reasons.
10. The Assembly considers that besides the economic, social and ethic consequences, in particular the ecological consequences and a possible further reduction in locally endangered species of domestic animals must be taken into account.

11. The Assembly is aware that a great variety of political strategies for dealing with GMOs have been seen internationally. Whereas in the USA neither separation of the flow of goods nor mandatory labelling has been set up and in Brazil and Mexico repeated incidents of contamination of native species have been detected, the European Union has decided to align its policy on the side of caution and to allow producers and consumers permanent freedom of choice (strict approval process, labelling, co-existence). The GMO-free criterion has become a decisive quality criterion for export and import.

12. Several Council of Europe member states want stricter GMO regulations than those in force in the European Union as there are concerns that a creeping and uncontrollable spread of GMOs is taking place via countries in Central and Eastern Europe. Any action intended to undermine an explicit decision against the release of GMOs by the mere accomplishment of facts must be clearly rejected. Any illegal action designed to destroy the plants of release trials must also be rejected.

13. Since there has been a de-facto moratorium for the authorisation of GMOs since 1998, the European Union wishes to set up a uniform regulation for handling GMOs in the member states, in line with the negative attitude of consumers but also to further extend the innovative potential of biotechnology and to create reliable conditions for trade in GMOs approved in the EU. Within the EU, from April 2004, human foodstuffs and animal feeds, the production of which involves the use of biotechnological processes, must be labelled even if the products themselves no longer contain GMOs (transition from product labelling to process labelling). The labelling of GM animal feedstuffs is mandatory, though not the labelling of meat, milk and eggs from animals fed with GM feed.

14. The Assembly considers that the major reservations expressed by consumers are not only attributable to the fact that new products do not show any benefit. The loss of consumer confidence, particularly in the area of food manufacture, is due to a variety of causes and should be taken very seriously by producers, retailers and politicians irrespective of possible irrational factors. On the one hand, one must accept that individuals have different and differentiated perceptions of risk. On the other, it must be appreciated that the use and promotion of certain technologies do not take place in isolation but are bound up with more complex political decisions on matters such as the direction of agriculture policy or the use of public resources.

15. It states that to date it has been apparent that the use of gene technology in the agricultural sphere is a continuation of intensive farming, based on increasing yields with the help of chemicals. Relieving pressures on the environment by reducing the use of agrochemicals has proved not to have lasting benefits as resistance has developed. Land management in accordance with ecological principles offers an alternative to traditional practice which ought not to be jeopardised by an over-hasty plunge into widespread commercial cultivation of GMOs.

16. The Assembly believes that against a non-quantifiable risk involved in the release of genetically modified organisms there stands a so far unproven advantage for the consumer. Ethical aspects such as animal protection, the quite considerable supervisory and control requirements of long-term monitoring of the environmental effects, conformity with threshold values and, in future, the identification of potential health implications and the resulting costs, as well as the ensuing restrictions on existing freedoms to grow whatever crops one wishes, suggest that the social debate should continue and the research agenda be extended to include the concepts of sustainability.

17. It states that the present world trade situation should be regarded in terms of the demands of sustainable economic policy. The system of patents which protects intellectual property, for example, does not ensure a fair balance between the rich countries and the poorer ones. Patent law is increasingly proving a trick device for the acquisition of quasi-proprietary rights to agricultural resources. Patents on biological material intensify and consolidate dependencies and bring with them the danger of monopolies and merciless cut-throat competition to the disadvantage of farming structures and farmers. The social consequences of such economic promotion may create or aggravate serious problems of poverty.

18. The Assembly considers that the transgenic varieties developed to date are not suitable for growing in the developing countries but that it is vital to them that there should be technology transfer and not just the opening up of new market outlets. World hunger is the result of unfair distribution and the effective fight against poverty must start with trade structures and participation rights.

19. Consequently the Assembly recommends that Governments of member states when defining their policies on GMOs:

i. take into account four general principles:

a. *respecting freedom of choice for consumers and producers*: maintaining simple access to GMO-free foods is the central objective of GMO regulation. This implies that the viability of an agriculture without GMOs can be safeguarded in the long term. In contrast to other forms of traditional agriculture, regional organic farming cannot be safeguarded by threshold values above the limit of technical detection. In any case, consumers of organic products will not accept a tolerance of 0,9% GMOs;

b. *preserving sustainability in agriculture*: GMO-free agriculture should be guaranteed in law without ruling out the cultivation of GMO crops and the confined release of GMO for scientific purposes. Organic farming in particular deserves protection because it is the best form of agriculture in terms of ecological sustainability as mentioned in the Assembly's Recommendation 1636 (2003) on the development of organic farming;

c. *precaution*: given large gaps in scientific knowledge, both in the field of molecular genetics and with regard to ecological consequences, irreversible manipulation of nature and creeping contamination with transgenes should be avoided and the environmental precautionary principle recognised at all times;

d. *objectivity of the scientific debate and public participation* : it is in the interests of all concerned that a sound scientific base will be constructed at various levels of safety research, to make it possible for standards and regulations to be redirected, eased or tightened under agreed procedures. Only on the basis of broad social discussion can clear political decisions be taken. Research should also be more open to this debate. A debate involving the whole of society should focus not only on the risk aspects of green genetic engineering but also on the question whether or not social models, objectives and practical expectations justify the move into green biotechnology on a larger scale;

ii. bring safety standards relating to the use of GMOs into line with EU legislation as a minimum standard;

iii. additionally take precautions in view of:

a. *labelling of GMOs*: the labelling of animal products following the use of genetically modified feedstuffs should be a mandatory requirement. A consistent conception of process labelling ought to be strived for;

b. *labelling of seeds*: following the precautionary principle, compulsory labelling of the seed at the limit of technical detection (0,1%) is the most effective means of checking environmental consequences and securing conformity with threshold values for labelling purposes;

c. *liability regime*: clear regulations on the questions of liability, together with clear decisions on who is to bear the additional costs incurred in making co-existence possible. These rules should obey the causal agent principle;

d. *good agricultural practice*: regulation of good agricultural practice in terms of production and use of GMOs (minimum distances, public register, etc.);

e. *GMO-free zones*: GMO-free reference areas should be established to fix natural baselines. Regional agreements for GMO-free zones should be possible to safeguard co-existence and ecologically sensitive areas;

iv. take the following steps in view of the fact that the commercial introduction of transgenic domestic animals is imminent:

a. *risk investigations*: thorough risk investigation in a number of areas (human health, animal health, ecological effects) is urgent. The use of genetically modified micro-organisms in livestock farming should consider the animal and his life cycle as a whole;

b. *secure fencing systems*: under no circumstances should genetically modified livestock be kept in open herds. In order to restrict the risks to the surrounding ecosystem arising from transgenic fish, these should not be kept in cage systems in the open sea;

c. *pharmaceutical products*: transgenic plants and animals supplying pharmaceutical products should be kept only in closed systems. A distinction must be drawn between health-promoting and therapeutic effects.

20. The Assembly recommends that Parliaments of member states and the European Parliament look after the proposed principles and measures being taken into account in their respective legislations.

21. The Parliamentary Assembly recalls its Recommendation 1425 (1999) on Biotechnology and intellectual property and the request that farmers may use their own harvest for reseedling in order to reduce the dependency on seed producers increasingly dominating the market.

**II. Explanatory memorandum by Mr Wodarg**

**Contents**

1.	General considerations .....	6
2.	What do we know? .....	9
	2.1 Transgenic domestic animals and genetically modified micro-organisms .....	10
	2.2 Transgene plants and co-existence .....	13
	2.3 Central and Eastern Europe .....	17
3.	What do we not know? .....	18
	3.1 Transgenic domestic animals and genetically modified micro-organisms .....	20
	3.2 Transgene plants and co-existence .....	22
4.	What should we be arguing about? .....	24
	4.1 Coexistence, as with the beekeepers in Germany .....	24
	4.2 Freedom of choice, seed purity, liability .....	26
	4.3 Farmers' varieties and biopatents .....	28
5.	What will move us forward? .....	30
	5.1 Biotechnology and sustainability in relation to stress-tolerant plants .....	30
	5.2 General social debate .....	34
6.	Conclusions.....	35

**1. General considerations**

1. The use of genetic engineering in agriculture and food processing has shown a constant increase world-wide since the first hectare of genetically modified plants were cultivated for commercial use in the USA in 1996. However, this use is concentrated in the four main grower countries, USA, Canada, Argentina and China, and is opposed by a large number of countries particularly in the European Union (EU), who consider strict regulation of GMO to be essential. The moratorium on cultivation and marketing imposed in the EU in 1998 was based on the absence of comprehensive genetic engineering legislation and was widely copied, primarily due to a fear of shrinking marketing opportunities but also for reasons based on precautionary principles.<sup>1</sup>

2. Since the existing legislation on genetic engineering in the EU was revised and supplemented in 2003 (for details see paragraph 40), the moratorium is to lapse and cultivation of commercially used GMO, on a scale that is currently impossible to assess, is to become possible. A further, possibly more basic consequence would be the opening of the European market to genetically modified products from all over the world, or even the closure of market access, because the developing countries are unable to follow the complex and costly tightening of regulations by the EU with regard to GMO.

3. Indirectly, agricultural subsidies in the northern countries are also subsidising gene technology, since the artificially high price of produce leads to a high degree of intensification of production, with which the poorer countries cannot compete. The consequences of protectionism, price guarantees, support buying, tolls and subsidies that distort trade, all on the part of the industrialised countries and the openness of food systems in the South affect primarily the small growers.<sup>2</sup> Their national governments often also direct their agricultural policies toward export, at the

<sup>1</sup> Overview of GMO moratoria in the various countries and regions of the world at [www.genet-info.org](http://www.genet-info.org) (GE-free zones)

<sup>2</sup> FAO 2003-04, *The State of Food and Agriculture, Agricultural Biotechnology meeting the needs of the poor?* Rome 2004.

cost of supplying their own population. Insufficiently close attention is often paid to the concerns of the developing countries in the debate on green gene technology. Technical solutions for socio-economic problems are usually 'end-of-the-pipeline' solutions: they do not address the causes of poverty and malnutrition, but bring with them new problems and risks.

4. The establishment of bio- and gene technology goes hand in hand with an unprecedented assault on the world's biological resources. These are largely found in the developing countries, but are generally patented and commercialised by large concerns from the North. Although gene technology in the North-South relationship is embedded in a constantly evolving international system of regulations (Convention on biological diversity, Biosafety protocol, Codex Alimentarius), in fact the World Trade Organisation (WTO) agreements are effectively more powerful, as the USA respects them and uses them as a means of furthering its own interests.

5. Since 2003 the EU, which has reached no united stand on GMO policy, is also under pressure from a complaint by the US to the WTO. In the view of the USA, the EU requirement for the compulsory labelling and traceability of GMO is a barrier to trade. The European Commission hopes to be able to scale down the threatened all-out trade war by rapidly lifting the moratorium.<sup>3</sup> The potential for conflict within the EU with regard to GMO is to be pacified by a compromise on the coexistence of various forms of cultivation, though the European Commission has so far formulated only voluntary guidelines on this subject. Whether coexistence is possible in the long term will depend on which priorities the individual EU member states establish in their national legislation (promotion of the new technology versus the protection of GMO-free forms of agriculture) and whether these can be harmonised.

6. There is a perceived risk that in the absence of effective controls a gradual introduction of GMOs will take place via the Central and Eastern European countries. A greater risk of contamination possibly exists, however, in the area of feedstuffs if in the absence of compulsory labelling for animal products no separate market segment remains for GMO-free feedstuffs.<sup>4</sup>

7. There are good reasons for regarding the green biotechnology controversy that has gone on for many years now as a kind of "proxy dispute" over a fundamental approach to the future.<sup>5</sup> In the area of risk assessment, this is quite obvious: short term health risks have been researched relatively thoroughly, albeit with methods and test procedures that are doubtless no longer adequate in scientific terms, particularly with regard to organisms that have undergone multiple changes in their genetic makeup (substantial equivalence); long term ecological risks, on the other hand, have hardly been researched at all and are totally unpredictable under the conditions of large-scale cultivation of GMOs, particularly in view of the predominantly small areas of agricultural production in the European Union. The highly complex scientific questions raised by the new technology are thus also on a time continuum.

8. This is the core element of the conflict: policy decisions in the past have been made predominantly with a view to achieving short term goals and on the basis of existing structures. The

<sup>3</sup> WTO complaint by USA: On 13 May 2003 the USA lodged a complaint with the WTO court of arbitration (300 million US \$ lost in trade due to the EU moratorium). Extension of the complaint to GMO labelling is planned, based on the WTO TBT and SPS Agreement (Technical Barriers to Trade; Sanitary and Phyto-Sanitary measures), that incorporate the principle of "sound science". Cf.: *Inside US-Trade: Likely new WTO challenge on EU GMO Policy*, March 12th, 2004. In the comparable trade dispute on US hormone meat (genetically modified cattle hormone) the WTO did find against the EU, but stated that a WTO member in its sovereign territory can implement the degree of health protection that it considers necessary.

<sup>4</sup> This danger was identified by Greenpeace after feedstuff manufacturers experimentally labelled all feedstuffs as GMO.

<sup>5</sup> Volker Beusmann, *Hearing on Genetically Modified Organisms of the Committee on the Environment, Agriculture and Local and Regional Affairs in Paris on 08.09.04.* (below quoted as *hearing of the COE Committee*). For stages of this discussion: controversy in the 1980s concerning risk led to Release Directive 90/220/EEC; intensification of the risk debate after a drastic switch in opinion in the second half of the nineties even in previously "biotechnology-friendly" countries such as France and Great Britain; among the causes of the massive rejection of gene manipulation in the food production sector were undetected ship-loads of GMOs sent out by US maize and soya exporters in 1996/97; since 1998 there has been a blockade of new registrations and approvals of transgenic varieties (de facto moratorium); revision of the GMO Release Directive (2001/18/EC) in response to on the one hand, scientifically controversial and, on the other, publicly controversial questions with an additional need for expanded parallel research (case by case; step by step); after the creation of a mandatory system for the labelling and traceability of GMOs and the adoption of non-binding guidelines for co-existence of different farming methods, the moratorium is now to be lifted. On this controversy: Grunwald, A., Sauter, A., *Langzeitmonitoring der Freisetzung gentechnisch veränderter Pflanzen (GVP), gesellschaftliche, politische und wissenschaftliche Dimensionen*, Umweltbundesamt (ed.), *Symposium "Monitoring von gentechnisch veränderten Pflanzen: Instrument einer vorsorgenden Umweltpolitik"*, 13. Juni 2002 im Bundespresseamt, Berlin. UBA-Texte 23/03, Berlin 2003, pg. 16-24.

objective was to increase and safeguard prosperity for everyone, and this took place in the expectation that the potential for economic growth would be unlimited. The Utopian dream, that there would always be "more and more for everyone", could be achieved only by consuming environmental capital that was seen as replaceable by human efforts and for this reason was written off in the cost-benefit analysis as a negligible factor. Several decades ago scientists began to point out the limits of this kind of growth and since then the field of environmental protection has assumed increasing importance.

9. At present, with the concept of sustainability we are dealing intensively with a new and much more complex ideal: the idea of "more for everyone" being replaced by principles that postulate not only "enough for everyone now" but also "enough for people in the future" as well.<sup>6</sup> The discussion on sustainable development is also an attempt at social self-determination by means of appropriate environmentally tolerable, socially just and economically sound development. The idea and the objectives arising from it are relatively uncontroversial. This is not the case, however, with regard to the steps that need to be taken and the decisions that have to be made in order to move us closer to sustainable environmental, economic and social policies.<sup>7</sup>

10. In many ways, the ideal of sustainable development is in competition with current trends in international economic development. The sustainability objectives and strategies that are derived from them (efficiency, sufficiency, consistency and resilience)<sup>8</sup> are, to put it mildly, not entirely compatible with the liberalisation of world trade and the economically driven process of globalisation. We lack clear criteria supported by a majority of the population, something that would give us clear indicators that would make developmental trends controllable and, in specific instances, would also make it possible for us to take decisions for or against, say, the introduction of a new technology. Green biotechnology is the ultimate controversy, confronting us with difficult decisions between the questionable but familiar values of yesterday and the as yet still unclear and unfamiliar values of tomorrow.

11. The numerous controversies that accompany the use of green technology<sup>9</sup> can be resolved only in part through further research and the acquisition of empirical factual knowledge. Differences of opinion at the level of political beliefs, personal values, policy decisions taken, and legislation passed are more important, given that these values are usually an implicit element in the debates conducted on specific issues. For this reason, in this report, specific issues will be embedded in the more general question as to a coherent and generally acceptable sustainability strategy. The explanatory memorandum is divided into four parts, headed by the following simple questions: 1. What do we know? 2. What do we not know? 3. What should we be arguing about? 4. What will move us forward?

12. This is an attempt to clarify what constitutes a matter of knowledge that can be determined empirically and what is a question of arguable value judgements. These are different kinds of knowledge and separating the levels is an important step in the rational solution of controversy. This is not a matter of presenting a complete plan or of offering a further compendium of matters of fact – a wealth of factual information on green biotechnology is readily available.<sup>10</sup> The intention here is to

<sup>6</sup> Sustainability is a normative concept, a regulatory idea; in the follow-up to the *Brundtland report* (WCED 1987) and the action program agreed at the Rio summit, *agenda 21* (UN conference for the environment and development 1997) this has been widely recognised. The definition of the Brundtland Commission is as follows: "Humanity is capable of sustainable development – it can guarantee that the needs of the present are satisfied without jeopardising the opportunities for future generations to satisfy their own needs."

<sup>7</sup> Volker Beusman states at the *hearing of the COE Committee*: "In my opinion we have to many public debates on future technologies, and not enough discussions on behaviour and institutions compatible with the future, although the sustainability debate embraces all these dimensions."

<sup>8</sup> The *efficiency strategy* is aimed at increasing resource productivity in the production of goods and services; the *sufficiency strategy* is aimed at bringing about changes in patterns of consumption and behaviour in society as well as changes in values directed towards a more post-materialistic lifestyle; the *consistency strategy* is aimed at the attainment of consistency/compatibility between anthropogenic and natural material flows (for instance natural building materials). Ott, K., *Zu einer Konzeption „starker Nachhaltigkeit“*, Düwell, M. et al. (ed.), *Umwelt - Ethik - Recht*, Tübingen / Basel 2003, pg. 25 prefers the term "ecological resilience" over consistency: "preservation of environmental assets with a view to ensuring the comprehensive potentials of the environmental system".

<sup>9</sup> Konrad Ott summarised the arguments against the introduction of green biotechnology at the *hearing of the COE Committee*: 1. Principled ("categorical") ethical arguments; 2. health risks for humans; 3. no benefit for consumers; 4. negative environmental effects; 5. ecological risks; 6. disadvantages to organic farming; 7. threats to food safety in southern countries; 8. control over seeds by TNC's.

<sup>10</sup> Basic information for this report has been drawn from: Heine, N., Heyer, M., Pickardt, Th., *Basisreader zum Diskurs Grüne Gentechnik des Bundesministeriums für Verbraucherschutz, Ernährung und Landwirtschaft (BMVEL)*, April 2002. The text of the reader and further information can be found in the internet: [www.transgen.de](http://www.transgen.de); Information concerning developing countries see: Augsten, F., Buntzel-Cano,



define the levels of the discussion clearly and therefore to make a contribution to further discussion on the subject. The additional comments in the last section on the subject of research, estimation of technical consequences and social visions make a link with the debate on sustainability.

## 2. What do we know?

13. The concept of genetic engineering or biotechnology covers all processes in which extracellular hereditary information prepared under artificial conditions (nucleic acids, unmodified or recombined) is introduced into organisms either directly (by microinjection or microprojectile bombardment) or via vectors (viruses, bacterial plasmids). Analytical methods based on the isolation and characterisation of parts of the genotype also form part of genetic engineering. These include, for example, the characterisation of particular genotypes using a genetic "fingerprint", a process that has become very important, for example, in conventional breeding as marker-based selection, or diagnostic procedures based on enzymic replication of certain sequences (PCR). However, these processes do not involve either recombination of isolated nucleic acids; nor are genetically modified organisms created.<sup>11</sup> While detection techniques at DNA level are now established and accepted in many areas, the production and use of genetically modified organisms in Europe is a matter of controversy. The concepts of biotechnology and gene technology should not be used synonymously. Critics of the gene transfer method do not necessarily reject biotechnology, which involves numerous methods below the threshold of gene transfer, the use of which is unproblematic.

14. The science of genetic inheritance underwent massive development during the 20<sup>th</sup> century and, after the identification of DNA as the genetic substance (1944), clarification of its structure (1953), determination of the genetic code (at the beginning of the 60s) and the first genetic experiments in bacteria (1973), became what we now know as molecular genetics. Since around 1980 the genetic modification of plants has also become possible. The two basic methods (introduction of genetic material via the soil bacterium *Agrobacterium tumefaciens* and use of the "gene cannon") also require the use of selection marker genes in order to identify the successfully manipulated cells. Antibiotic resistance genes were often used as selection markers but for some time now this practice has been criticised in view of the implications for human health and is to be phased out in Europe.<sup>12</sup>

15. The new technology is regarded by its advocates as an extension to the repertoire of methods used in conventional breeding. The possibility of transferring any chosen DNA sequence to plants, however, represents a fundamental departure from traditional plant breeding techniques. The introduction of genetic engineering has not only brought an extension of the gene pool (just as a combination and hybridisation did before in conventional breeding), but has also removed all the biological limitations on the exchange of genetic information. This becomes clear in the case of the more recent research projects (2<sup>nd</sup> and 3<sup>rd</sup> generation GMO: manufacture of vaccines, drugs, polymers) compared with the products of the 1<sup>st</sup> generation GMO, which affected mainly agronomic characteristics.

---

R., *Die Bedeutung der aktuellen Gentechnikgesetzgebung in der europäischen Union für den Süden*, Forum Umwelt & Entwicklung und Evangelischer Entwicklungsdienst (ed.), Bonn 2004.

<sup>11</sup> The definition of modern biotechnology used by the FAO/WHO is as follows: "application of: in vitro nucleic acid techniques, including recombinant deoxyribonucleic acid (DNA) and direct injection of nucleic acid into cells or organelles, or application of fusion of cells beyond the taxonomic family that overcome natural physiological, reproductive or recombinant barriers and that are not techniques used in traditional breeding and selection." FAO/WHO 2001, *Safety assessment of foods derived from genetically modified micro-organisms*, Geneva 2001, pg. 3.

<sup>12</sup> *Directive 2001/18/EC on the deliberate Release into the Environment of genetically modified Organisms*, Art. 4 states that GMOs which contain genes expressing resistance to antibiotics in use for medical or veterinary treatment should be identified and phased out within the next years.

## 2.1 Transgenic domestic animals and genetically modified micro-organisms

16. Experiments with transgenic domestic animals have been under way for many decades, particularly for the production of animal models in the pharmaceutical industry, details of which cannot be dealt with here.<sup>13</sup> The first experimental animals for agricultural purposes were sheep, pigs and rabbits. In the meantime cattle, goats and chicken and a total of 35 different species of fish have been investigated.<sup>14</sup>

17. The objectives in the creation of transgenic domestic animals are the same in principle as those of conventional breeding and come under 6 headings:

a. the primary objective is to increase productivity, which has so far been successful particularly in fish.<sup>15</sup> For pigs, there have been reports of quick-growing animals that produce low fat meat and in sheep there are attempts to increase wool production.<sup>16</sup>

b. in the modification of certain characteristics of agricultural products (meat, milk, eggs, wool) transgenic modifications aimed at the production of pharmaceutical substances are dominant (e.g. the iron-binding protein lactoferrin that is present in human breast milk and protects infants from gastrointestinal infections).<sup>17</sup> The objective of producing cow's milk that is better tolerated by humans, with a lower lactose content, has so far succeeded only in experiments with mice.<sup>18</sup> With sheep's wool the attempted modification of fibre characteristics has proved very difficult. Research is being carried out into the modification of fish meat characteristics such as colour, fat and protein content and also flavour.<sup>19</sup>

c. to reduce susceptibility to disease (a high-priority goal since disease in domestic animals, particularly in intensive rearing, represents a high cost factor). Various different approaches are possible: strengthening of the immune system, insertion of resistance genes, immunisation and destruction of genes that cause disease.<sup>20</sup> There are actually few experiments underway at present.<sup>21</sup>

d. for improvement of nutrient uptake, research is under way in pigs to enable them to form an enzyme for absorption of the vital mineral phosphorous.<sup>22</sup> This would allow supplementary feeding of

<sup>13</sup> Revermann, Chr., Hennen, L., *Das maßgeschneiderte Tier*, Klonen in der Biomedizin und Tierzucht, Berlin 2001.

<sup>14</sup> The below listed publications have been taken from: Öko-Institut e.V. (ed.), *Transgene Nutztiere*, Gentechnik-Nachrichten Spezial 13, Juli 2003, Freiburg 2003, pp. 1-16. The newsletters of the Öko-Institut may be found in the internet at: [www.oeko-institut.org/bereiche/gentechnik/newslet/index.html](http://www.oeko-institut.org/bereiche/gentechnik/newslet/index.html); all of them are available in an English version. Hammer, R. E. et al., *Production of transgenic rabbits, sheep and pigs by microinjection*, Nature 315, 1985, pp. 680-683; Meier et al., *Transgene Tiere: Nutzung, Risiken und Möglichkeiten der Risikovermeidung*, Umweltbundesamt (ed.), Berlin 2003.

<sup>15</sup> In the USA, AQUA Bounty Farms are currently awaiting a permit for their transgenic quick-growing salmon (AquAdvantage™) for commercial aquaculture. Fish production in fish farms now accounts for a quarter of all fish traded on the world market. In Cuba an application has been made for a permit for transgenic African cichlids (Tilapia). Hew, C. L., Fletcher, G., *Transgenic fish for aquaculture*, C & I Magazine 1997, <http://ci.mond.org/970812.html>. Cf. also: Hew, C. L., Fletcher, G., *The role of aquatic biotechnology in aquaculture*, Aquaculture 197, 2001: pp. 191-204. On 5<sup>th</sup> January 2004 the transgenic Glofish went on sale in the US without any federal regulatory approval. Nature 426, p. 372.

<sup>16</sup> Niemann, H., *Transgenic farm animals get off the ground*, Transgenic Research 7, 1998, pp. 73-75. Mitchell, A. D., Pursel, V.G., *Effects of dietary conjugated acid on growth and body composition of control and IGF-1 transgenic pigs*, The FASEB Journal 15(5), 2001, A961. Powell, B.C. et al., *Transgenic sheep and wool growth: Possibilities and current status*, Reproduction Fertility and Development 6, 1994, pp. 621. Su, H.-Y. et al., *Wool production in transgenic sheep: results from first-generation adults and second generation lambs*, Animal Biotechnology 9 (2), 1998, pp. 135-147.

<sup>17</sup> Krimpenfort, P. et al., *Generation of transgenic dairy cattle using in vitro embryo production*, BioTechnology 9, 1991, pp. 844-847.

<sup>18</sup> Jost, B. et al., *Production of low-lactose milk by ectopic expression of intestinal lactase in the mouse mammary gland*, Nature Biotechnology: 17, 1999, pp. 160-164.

<sup>19</sup> Teufel, J. et al., *Specific research on transgenic fish considering especially the biology of trout and salmon*, Umweltbundesamt (ed.), Texte 64/02, Berlin 2002.

<sup>20</sup> Niemann, H., Marquardt, O.-W., *Entwicklungsstand und Anwendungsperspektiven der Gentechnologie in der Tierproduktion*, Sill, B. (ed.), Bio- und Gentechnologie in der Tierzucht, Stuttgart 1996, pp. 56.

<sup>21</sup> Examples: concerning hereditary immunisation cf.: Lo, D. et al., *Expression of mouse IgA by transgenic mice, pigs and sheep*, European Journal of Immunology 21, 1991, pp. 1001-1006; On "scrapie" cf.: Denning, C. et al., *Deletion of the (1,3) galactosyl transferase (GGTA1) gene and the prion protein (PrP) gene in sheep*, Nature Biotechnology, 19, 2001, pp. 559-562. On inflammation of the udder (mastitis) Kerr, D. E. et al., *Lyostaphin expression in mammary glands confers protection against staphylococcal infection in transgenic mice*, Nature Biotechnology 19, 2001, pp. 66-69.

phosphorous in pig rearing to be reduced and, as a positive side effect, smaller quantities of phosphorous would be spread on agricultural land in the form of fertiliser from pig excrement. This would help to alleviate the particular problem of water pollution due to excess fertilisation with phosphorous.

e. since each animal species and strain of domestic animal is adapted by evolution or breeding to certain environmental conditions, limitations exist with regard to the areas in which they can be reared successfully. *Adaptation to particularly environmental conditions* takes place with regard to the cold tolerance of salmon in Canada, for example, where salmon farms have so far only been a possibility in the southern coastal areas.<sup>23</sup> With the introduction of genes from the American winter flounder that code for frost protection it is hoped that these limitation will be abolished. To date, formation of a precursor of innate frost protection has been achieved in the transgenic salmon.

f. in the Netherlands, the USA and Japan, a number of groups are working on the development of transgenic fish (particularly zebrafish), for use in *detecting environmental contaminants* in water. The idea is to enable the animals, by gene transfer, to form detectable substances in the presence of the contaminants (heavy metals, aromatic hydrocarbons, dioxins or other mutagenic substances). Alternatively, there are studies that are inserting genes that mutate in the presence of contaminants.<sup>24</sup> The development of means to *combat invasive species* (species generally introduced deliberately or accidentally by humans into certain areas) is a further goal of genetic modification, since this is a major source of damage to ecosystems and can drive out previously native species. Model studies are currently under way with zebrafish.<sup>25</sup>

18. Each species has its own specific system of reproduction, so that species-specific techniques are required in each case and the already advanced experiments carried out in mice are generally not transferable directly. The fewest complications are currently being seen in the development of transgenic fish, compared with other vertebrates. However, the risk that transgenic fish may escape into the environment is particularly high, because aquaculture is generally set up in the sea alongside the coast rather than on land, for reasons of cost.<sup>26</sup>

19. The most commonly used method of gene transfer to date is the microinjection method, in which segments of DNA prepared in the laboratory are injected into the fertilised egg cell using a fine microneedle. The precise location at which the injected fragment of DNA enters the genome of the fertilised egg cell cannot be predicted.<sup>27</sup> The transformed fertilised egg cells are then kept in culture and later implanted in surrogate mother animals as embryos. In order to improve the very low success rate for this technique, the use of cloning has been considered as an additional technique for the production of transgenic animals, although here again the success rate is very low.<sup>28</sup> Another fully developed and frequently used cloning technique, by which only a limited number of identical clones can be produced, is "embryo splitting" in which embryos several days old are divided.

<sup>22</sup> Phosphorous is present in the widely used feedstuffs cereal, rape and soya mainly in the form of phytate, which can only be absorbed after breakdown by the enzyme phytase and not by the organism directly. Golvan, S.P. et al., *Pigs expressing salivary phytase produce low-phosphorus manure*, Nature Biotechnology 19, 2001, pg. 741-745.

<sup>23</sup> Hew, C. L. et al., *Liver-specific and seasonal expression of transgenic Atlantic salmon harboring the winter flounder antifreeze protein gene*, Transgenic Research 8(6), 1999, pg. 405-414. Hew, C. L., Fletcher, G., *Antifreeze proteins in teleost fishes*, Annu. Rev. Physiol. 63, 2001, pg. 359-390.

<sup>24</sup> Amanuma K. et al., *Transgenic zebrafish for detecting mutations caused by compounds in aquatic environments*, Nature Biotechnology 18, 2000, pg. 62-65. Carvan, M. J. et al., *Oxidative stress in zebrafish cells: potentially utility of transgenic zebrafish as a deployable sentinel for site hazard ranking*, The Science of the Total Environment 274, 2001, pg. 183-196.

<sup>25</sup> McEnnulty, F. R. et al., *A review of rapid response options for the control of ABW/MAC listed introduced marine pest species and related taxa in Australian waters*, Centre for research on introduced marine pests, Technical report No. 23 CSIRO marine research, Hobart 2001, 101 pp.

<sup>26</sup> The Ministers of the Environment for the states bordering the North Sea supported the Bergen Declaration, agreed at the 5<sup>th</sup> International North Sea Protection Conference in March 2002 for closed holding tanks on land (known as closed circulation systems) representing an already existing alternative.

<sup>27</sup> Brem, G., Müller, M., *Large transgenic animals*, N. Maclean (ed.), *Animals with novel genes*, Cambridge 1994, pg. 179-233; Amoah, E. A., Gelaye, S., *Biotechnology advances in goat reproduction*, Journal of Animal Science 75, 1997, pg. 578-585; Gibson, Y., Colman, A., *The generation of transgenic sheep by pronuclear mikroinjection*, L. M. Houdebine (ed.), Harwood Academic Publishers, Amsterdam 1997, pg. 23-25.

<sup>28</sup> In the cloning technique known as nuclear transfer, the cell nucleus of a somatic cell is transferred into an unfertilised egg cell, the nucleus of which has already been removed ("Dolly" the sheep) the success rates in sheep, goats and cattle are around two percent.

20. Only 0.5 to 4 percent of embryos transferred into the surrogate mother are born live and are actually transgenic.<sup>29</sup> The success rate varies depending on the experimental method used and the species selected. A major proportion of live born transgenic animals do not reach the average age. Pathological modifications to the internal organs are often the reason for their short life. In addition, in some cases transgenic animals do not transfer their foreign genes to the subsequent generation. Further breeding is problematic even if the genes are passed on successfully, since the random division of the maternal and paternal genes in sexual reproduction can result in the loss of certain characteristics and the development of new ones. Animal consumption and the input in time and money are therefore extremely high overall in the production of transgenic animals.

21. In agriculture, genetically modified micro-organisms (GMMs) can be used both in the plant sector (biopesticides, promotion of plant growth) and also in the animal sector (higher yields). Here there are mainly ecological risks associated with release that may rule out comparable medical applications if enzymes are produced in the fermenter and used as feed additives (contained use). In medicine, in the processing of foods and the manufacture of washing products, enzymes obtained from GMMs have long played a major role; intensive research is currently being carried out in virus-resistant bacteria cultures for sour milk and yoghurt products, since over 80% of production losses in the milk processing industry are caused by viruses that attack and kill lactic acid bacteria.

22. In the animal sphere, research in micro-organisms is concentrating on the microflora of the digestive tract of ruminants.<sup>30</sup> The ruminal microflora that, after genetic modification, lead to better utilisation of the feed (particularly fibre) or modified protein metabolism or modified amino acid composition are of particular interest and secondly animals are to be enabled to digest otherwise toxic plants.<sup>31</sup> To achieve improved feedstuff utilisation, there are studies to modify the ruminal flora itself. This has not yet been well researched; there are also experiments to equip better known organisms such as yeast, which is already used as a feedstuff additive, with the desired characteristics. To prevent the release of GMMs, the enzymes required could be produced in a fermenter and used directly as a feedstuff additive.

23. Scientists at the British Rowett Institute have recently discovered soil bacteria that break methane down into hydrogen and carbon dioxide, and are to administer this to cows with their feed, to reduce methane output (greenhouse effect). Scientists expect a 20% reduction in methane output.<sup>32</sup>

24. In the plant sector, GMMs are to be used firstly as "environmentally friendly" biopesticides (against insects and fungal infection, plant diseases) and secondly as growth promoters (e.g. binding of nitrogen by nodule bacteria). To protect against fungal attack or pathogens, various micro-organisms have been used which mostly achieve their effect by excreting certain antibiotics. The protective mechanisms are often not understood, since they rely on a complicated interaction between the micro-organisms e.g. in the root area of the plant. Micro-organisms as biopesticides do not have to be genetically modified.

25. Just recently an attempt was made to genetically "improve" existing biopesticides such as *Bacillus thuringiensis*.<sup>33</sup> The development of highly potent Bt strains must also be regarded with

---

<sup>29</sup> Amman, D., Vogel, B., *Transgene Nutztiere, Landwirtschaft – Gene Pharming – Klonen*, Züricher Tierschutz (ed.), Zürich 2000. Meier, M. S. et al., *Transgene Tiere: Nutzung, Risiken und Möglichkeiten der Risikovermeidung*, Umweltbundesamt (ed.), Berlin 2003.

<sup>30</sup> Ruminants are capable of converting low-quality food and can therefore be grazed on land unsuitable for crop production. However, the yield for feedstuffs with a high fibre content is low. Only 10-35% of the energy input is converted, as 20-70% of the cellulose cannot be digested by the animals. Green feedstuffs and silage are therefore often mixed with cereals which can lead to rapid fermentation. In order to improve ruminal fermentation, dietary ionophores, antibiotics or microbial feed additives have been used in the past. Whereas the first of these increases feed utilisation, microbacterial additives, which have been used for many years, encourage food uptake and so achieve the increased weight gain and milk production required. Wallace, R.J., *Ruminal Microbiology, Biotechnology and Ruminant Nutrition: Progress and Problems*, Journal of American Science 72, 1994, pg. 2992-3003.

<sup>31</sup> The ruminal bacterium *Butyrivibrio fibrisolvens* was genetically modified to enable it to detoxify fluoroacetate which occurs in the leaves of trees and shrubs in Australia, Africa and central America. Feeding trials for sheep have shown that the GMM can be introduced successfully into the rumen and become established there. The results, however, were still not satisfactory. Gregg, K. et al., *Genetically modified ruminal bacteria protect sheep from Fluoroacetate poisoning*, Applied and Environmental Microbiology 64, 1998, pg. 3496-3498.

<sup>32</sup> Vanessa Houlder, *Field trials on gas emission*, Financial Times 26. and 27. 07.2000.

scepticism, because precisely the characteristics that have enabled the Bt preparations to be authorised as insecticides in organic farming and have prevented the development of resistance (high specificity, rapid breakdown), are now being modified.

26. Soil micro-organisms, such as the nitrogen-forming nodule bacteria on the roots of legumes (e.g. soya, beans, clover) also frequently promote plant growth. These improve the nutrient supply and protect the plant from environmental influences such as frost. The bacteria *Rhizobium*, *Bradyrhizobium* and *Frankia* in particular have been subject to genetic processing to increase their nitrogen binding capability or to enable them also to colonise plants that form no nodules in their roots.

## 2.2 Transgene plants and co-existence

27. For about 1000 years human beings have used a process of selection and cross-breeding to produce new forms of particular plant species that have been improved for agricultural purposes and that vary considerably from their original characteristics. This expansion in the variety of forms was possible because of the high variability and flexibility of the plant genome (recombination, chromosome shifts, mutations, "jumping" genes) and the not infrequent occurrence in plants of the mixing and combination of different genomes by natural hybridisation across the species and genera. In the last century the basis for the selection of new forms and characteristics was increased further by chemicals or radiation that produced mutations and by means of deliberate cross-breeding of species by breeders.

28. Whereas in the industrialised countries modern plant breeding following the green revolution has displaced more than 75% of the robust traditional varieties and replaced them with the new high-yield varieties, the old varieties still play an important part in the developing countries. Seed companies try to sell the highly bred seed to small farmers with the promise of greater yields. The package includes agrochemicals, which in traditional agriculture were not required. The seed is genetically modified to an increasing degree, and the suppliers are no longer small local seed companies but multinational pharmaceutical concerns which are buying up more and more seed producers.<sup>34</sup>

29. Applied breeding research up to the mid-90s directed its attention mainly to the introduction of mostly bacterial genes to mediate characteristics such as resistance to insects or non-selective herbicides, and to the transfer of envelope proteins to create virus resistances. After the first experimental releases in 1987, the first transgenic varieties were marketed in the mid-90s. The first genetically modified plants were sown in the USA in 1996. Now there are a total of about 60-70 genetically modified varieties that have been approved for cultivation in various OECD countries. Areas of cultivation increase steadily each year (from 1.7 million hectares in 1996 to about 68 million hectares in 2003) – though it should be borne in mind that almost 99% of these areas are to be found in the USA, Argentina, Canada and China.

30. The commercial exploitation of green biotechnology is concentrated mainly on four plant species: soya, maize, rape and cotton. The proportion of GM plants is highest for soya, at 51% of

<sup>33</sup> *Bacillus thuringiensis* is the micro-organism most frequently used as a biopesticide to date and the world-wide turnover of Bt preparations has now reached 110 million dollars annually. Emmert, E. A. B., Handelsman, J., *Biocontrol of plant disease: a (Gram-) positive perspective*, FEMS Microbiology Letters 171, 1999, pg.1-9. A drawback of the mass use of these preparations is their comparatively high specificity. Researchers have therefore produced a recombinant Bt strain that shows high potency and also a broad spectrum of action because a further delta endotoxin has been introduced by genetic engineering. Two different toxins are expressed at the same time. In order to circumvent the light sensitivity of previous preparations (the active substance is rapidly inactivated under environmental conditions), the recombinant strain, that produces no spores, stores the toxin in the bacterium. This improves efficiency and also, in the view of the scientists, overcomes the problem of release into the environment. Sanchis, V. et al., *Development and field performance of a broad-spectrum nonviable asporogenic recombinant strain of Bacillus thuringiensis with greater potency and UV resistance*, Applied and Environmental Microbiology 65, 1999, pg. 4032-4039. For further information on genetically modified biopesticides, see: Gorfach, K., *Problems in the Introduction of Genetically Engineered Microorganisms into the Environment*, Acta Microbiologica Polonica 43, 1994, pg.121-131; Thompson, I. P. et al., *Survival, colonization and dispersal of genetically modified Pseudomonas fluorescens SBW25 in the phytosphere of field grown sugar beet*, Nature Biotechnology 13, 1995, pg.1493-1497.

<sup>34</sup> The green revolution on the one hand has brought about an enormous increase in production particularly of rice and maize, and on the other a gradual pollution of soil and water with herbicides, pesticides etc. In countries where the agricultural technology was introduced a major structural change has taken place in agriculture. Many small farmers have fallen into debt, lost their land and cannot afford to buy food; excess produce is exported. 180 million make up this stratum of the new poor, 22% of all starving people. *The Millenium-Project-Background Paper of the Task Force 2 on hunger*, UNDP, April 18th, 2003.

world production. In the EU, GM plants have not been grown commercially, except in Spain (Bt maize 20,000 – 25,000 hectares) but only in small quantities for test purposes.

31. With respect to future generations of GMO, there are far-reaching reports that consumers will benefit directly. Basically, a distinction is drawn in the case of plants between input characteristics (characteristics affecting cultivation and yield; agronomic characteristics important to breeders and growers) and output characteristics (quality of the end product: elimination of undesirable constituents, addition of nutritionally desirable substances, improvement in processing characteristics; molecular farming as a special case), that are of importance to the consumer or the food production industry. On the basis of release studies reported throughout the world, recent studies show that GMO with input characteristics will remain dominant in the next 5-7 years, while release studies with GMO that have modified output characteristics have decreased by contrast for several years, both in the USA and in the EU.<sup>35</sup>

32. The plants already grown commercially possess almost exclusively input characteristics (in particular herbicide and insect resistance) and have been developed by the large companies that control the process, of which there are now few.<sup>36</sup> Since the beginning of the 90s, transgenic plants with output characteristics have been tested in the open air, representing around one fifth of all releases carried out in the USA and the EU. Three transgenic plants with output characteristics have so far received cultivation authorisation world-wide: tomatoes with a longer shelf life; rape that forms lauric acid; and soya that forms more oleic acid than usual. None of these three plants has yet been cultivated commercially. The development of output characteristics has largely been unsuccessful to date.<sup>37</sup>

33. The widely publicised genetically modified rice by Syngenta, which produces beta-carotene (precursor of vitamin A), should help to prevent blindness and infection in millions of children suffering from vitamin A deficiency, according to promises from the industry. A Greenpeace report reveals that a two year old child would have to eat seven kilos of golden rice a day to reach the recommended daily dose and an adult would require nine kilos. One reason for the delay in market readiness could be that no published study yet confirms that the human body is capable of converting the beta-carotene from golden rice to vitamin A. Also, other nutrients such as fat and proteins are needed to allow the body to absorb vitamin A and undernourished children also often lack these other substances.<sup>38</sup>

<sup>35</sup> Vogel, B., Potthof, C., *Verschobene Marktreife*, Gen-ethisches Netzwerk (ed.), Berlin 2003; Lheureux, K. et al., *Review of GMOs under Research and Development and in the Pipeline in Europe*. European Commission, Joint Research Centre, Institute for Prospective Studies, Sevilla 2003.

<sup>36</sup> Between 1997 and 1999 agrochemical trusts have spent 18 billion US-dollars on the acquisition of seed production companies, Orton, L. 2003: GM crops – going against the grain ActionAid. [www.actionaid.org/resources/pdfs/gatq.pdf](http://www.actionaid.org/resources/pdfs/gatq.pdf) (August 2003). Today the four biggest agrochemical trusts: DuPont, Monsanto, Syngenta und Bayer are also the four biggest enterprises producing seeds. These four players own 90% of the world's commercialized transgenic plants and 50% of all patents. The high investments are well safeguarded by the system of patents and the quasi monopolistic control of the seed market and they pay well: a profit of 673 billion US-dollars was generated from the sale of transgenic seeds. Vogel, B., Potthof, C., *Verschobene Marktreife*, 8 pp. The reasons for the success story of herbicide and insect resistance are: the characteristics can be achieved by the insertion of a single gene; the genes responsible have been known and isolated since the mid-80s; the characteristics increase yield or reduce production costs without modification of harvesting or processing methods; herbicides and resistant seed in combination bring reliable returns to the companies producing both, so that the high development costs can quickly be amortised.

<sup>37</sup> There are many reasons for reduced interest in output characteristics: Products that succeed only in niche markets make the expensive and painstaking process of development extremely risky; some breeding outcomes have not yet become competitive; the need to separate and maintain identity increases management time and costs; modification of output characteristics is considerably more complicated: while foreign genes for input characteristics can or must act throughout the plant, the genes for qualitative characteristics require differentiated activity and the necessary promoters for this are not always available; many of the desired quality characteristics require the introduction of a number of foreign genes which is difficult with existing technology; interventions in complex and well balanced metabolic pathways will not be possible without undesirable side effects. Vogel, B., Potthof, C., *Verschobene Marktreife*, 74 pp.

<sup>38</sup> Maxime Schwartz (French Agency for Food Safety) stated at the *hearing of the COE Committee*: "Le débat porte essentiellement sur la quantité de riz qu'il faudrait ingérer pour pallier la carence en vitamine. L'étude réalisée par L'AFSSA fait apparaître les incertitudes qui pèsent sur l'évaluation de cette quantité et recherché les causes de cette incertitude. On constate que, selon les hypothèses retenues, la consommation journalière de riz nécessaire pour remédier de façon significative aux carences en Vitamine A va de 90 à 4500g. La consommation journalière moyenne de riz dans les pays considérés étant de 250 à 300g, une telle fourchette permet évidemment à tous les protagonistes de produire des chiffres conformes à leur point de vue. Une conclusion raisonnable serait qu'il trop tôt pour dire si les variétés disponibles actuellement pourront apporter une solution aux problèmes de carence en vitamine A, mais que les travaux sur le "riz doré" montrent que la conception et l'élaboration de plantes transgénique à des fins nutritionnelles, notamment au bénéfice des pays en voie de développement, n'est pas une utopie." For NGOs criticism of golden rice see: *GE rice is fool's gold*, Greenpeace, <http://archive.greenpeace.org/~geneng/highlights/food/goldenrice.htm>; Comment of Benedict Härlin, <http://archive.greenpeace.org/~geneng/highlights/food/benny.htm> *Grains of delusion*, published jointly by BIOTHAI (Thailand), CEDAC (Cambodia), DRCS (India); GRAIN, MASIPAG (Philippines), PAN-Indonesia and UBINIG (Bangladesh), February 2001; [www.grain.org/publications/delusion-en.cfm](http://www.grain.org/publications/delusion-en.cfm).

34. In the next five years, transgenic plants with input characteristics will continue to dominate the marketing process. The range of plant species already on the market will be extended by the following new varieties: banana, pea, peanuts, mangels, barley, cucumber, cabbage, lettuce; alfalfa, pepper, sunflower and wheat. The input characteristics they will show are resistance to insects, herbicides, viruses and fungi as well as increased yield. As far as output characteristics are concerned, the following may reach the market in the next five years: increased shelf life, improved digestibility, modified fatty acids, modified starch and protein metabolism, reduced mycotoxin content, more efficient ethanol production and modified secondary metabolism. The effort of developing such products is small compared with the funds invested in input characteristics. For the few products with qualitatively modified characteristics that will enter the market it is mainly the industrial processors of foods and feedstuffs who will profit.

Excursus: molecular gene farming

35. The plan to use gene technology to produce pharmaceutically active substances cheaply and in sufficient quantities has been approached in a variety of ways for some considerable time. Research has shown that it is possible to produce complex non-vegetable proteins that are biologically active in GM plants (molecular farming). These proteins can form the basis for vaccines, antibodies and therapeutically useful proteins. The production of enzymes, new polymers and industrial materials is also possible.<sup>39</sup>

36. Many proteins produced in our bodies can be used therapeutically in medicine (e.g. insulin in diabetes; growth hormones in growth disorders). In the past these proteins were obtained from cadavers or animal cells. This process was expensive, provided limited quantities and involved risks, since the proteins were often contaminated with viruses or other pathogens. For this reason, recombinant human proteins are now produced in genetically modified cells. The human genes are transferred into these cells and produce the corresponding protein. Many pharmaceutically useful proteins and industrially exploitable enzymes are produced using genetically modified micro-organisms and cultured mammalian cells, but these systems in themselves have two main disadvantages: firstly, the proteins produced in the micro-organisms are often not identical with their human counterparts, because the cells do not have the ability to synthesise all components correctly. Secondly, it is very expensive to culture mammalian cells and they may still contain pathogens. Therefore there is a great shortage of production capacity throughout the world and expensive production and purification methods are needed to ensure that the end product is pathogen-free.

37. Since plants are capable of producing many authentic recombinant substances and agriculture represents a cheap way of providing for some of these substances in unlimited quantities, science is pinning great hopes on this production method.<sup>40</sup> European scientists now want cheaper methods of producing drugs to combat AIDS, rabies, diabetes and tuberculosis in genetically modified plants. In the next five years genetically modified maize and tobacco plants are expected to be tested in South Africa in the open air or in greenhouses. The Fraunhofer-Institut in Aachen is coordinating the project, involving a total of 39 partners in eleven European countries and South Africa, which the EU is sponsoring to the tune of 12 million euros from the sixth basic research programme. The project involves provision of the necessary genes, the breeding of plants and their cultivation, up to extraction of the substances and their testing in clinical trials. This may well take more than five years, but the scientists hope that the combination of red and green gene technology will improve the acceptance of green gene technology in Europe as a whole because people will realise the direct benefits. According to press reports, all project partners have committed to making available all useful findings including possible patents from the project to the developing countries free of charge. In industrialised countries a strict licensing policy will maximise the commercial benefits of the project.<sup>41</sup> Many questions remain to be answered, however, regarding both economic aspects and applications on the one hand and the ecological and health effects on the other.

<sup>39</sup> Mayer, S., *Non-Food GM Crops: New Dawn or false hope?* Drug production (Part 1); Grasses, flowers, trees, fibre crops and industrial uses, report by GeneWatch UK, 2003/ 2004 (below quoted as *Report GeneWatch UK*).

<sup>40</sup> Compared with the USA, where a pharmaceutically active substance from transgenic plants is expected to reach the market in three years' time (a mouthwash containing antibodies to caries pathogens, from CaroRX), research in the EU is not so far advanced.

<sup>41</sup> AGRA-Europe 29/04, 19 June 2004. Further information: [www.pharma-planta.org](http://www.pharma-planta.org)

38. Certainly since the conclusion of the Human Genome Project, genes have been seen as functional units: DNA sequences are information carriers but do not allow conclusions to be drawn with regard to the cause of individual functions. In plants, additional effects occur that suggest a highly complex interaction between genes and other regulatory processes within the cell, depending on growth and environmental influences. It can no longer be assumed that genes alone determine which proteins will be produced. We talk about epigenesis, i.e. genes never work in isolation; their effect is also determined by the genetic background and the environment.

39. The concept of substantial equivalence (see paragraph 53, 72, 73), which was subject to much criticism in the case of first generation transgenic plants, cannot be used to assess transgenic plants with output characteristics. Since the objective of genetic modification in these plants is their specific novelty, far reaching innovations with regard to method are required for testing and authorisation.<sup>42</sup> Unlike other technologies or substances introduced into the agricultural and food economy, GMOs have the characteristic that they can replicate and exchange genetic information with other cultivated and wild plants. As with any technology it must be assumed that risk assessments are subject to error and may be overtaken by subsequent scientific findings. The essential point in the context of risk assessment is therefore the question of reversibility of the marketing and release of GMOs. Essential factors on the user side are: seed management, agricultural practice, liability regulations.

40. Whereas marketing authorisation, release and requirements with regard to labelling and traceability of GMOs are subject to uniform and mandatory regulation throughout the EU, the cultivation of transgenic plants and co-existence with other types of crop are to be regulated initially within the individual member states and harmonised according to guidelines.<sup>43</sup>

41. Co-existence relates to the development of seed and its replication, cultivation and agricultural practice in all its aspects, including environmental protection, transport, cooperative processing storage, processing and distribution of foods and feedstuffs at their various stages down to the end user and the export and import of agricultural products and foods. At all stages of food and raw material production, the separation of GMO and non-GMO will be important and lead to changes in operating and marketing conditions. Only if this overall context is taken into account will regulations have validity and permanence in practice.

42. Seed is at the beginning of the production chain and, depending on variety, multiplies by a factor of 40 to 1000 and can sometimes remain in the soil for a long period. GMOs in seed fertilise neighbouring crops via foreign pollinators and related species in the wild, where these grow nearby. Seed and pollen can therefore be transported over long distances.<sup>44</sup> The contamination of traditional varieties and related wild plants with GMO (vertical gene transfer) has been seen in many regions of the world. A particularly striking case is that of Mexico: in spite of a prohibition since 1998 on the cultivation of genetically modified maize, GMO contamination has been seen even in remote areas. The cause is suspected to be the undeclared importation of GM maize from the USA.<sup>45</sup>

<sup>42</sup> Generally FAO/WHO, *Safety assessment of foods derived from genetically modified micro-organism*, Report of a Joint FAO/WHO Expert Consultation on Foods derived from Biotechnology, Geneva 2001, pg. 8. In Report FAO/WHO 2000, *Safety aspects of genetically modified food of plant origin*, Rome 2000, there was disagreement with criticism of the concept of substantial equivalence which basically continues to be useful, but was said to be "not in itself an end-point but rather the starting-point for safety evaluation."

<sup>43</sup> *Directive 2001/18 on the deliberate release into the environment of genetically modified organisms* is a 'horizontal' directive, which regulates experimental release and the placing on the market of GMOs. *Regulation 1829/2003 on GM food and feed* regulates the placing on the market of food and feed products containing or consisting of GMOs and also provides for the labelling of such products to the final consumer. *Regulation 1831/2003 on traceability and labelling of GMOs and the traceability of food and feed products from GMOs* introduces a harmonised EU system to trace and label GMOs and to trace food and feed products produced from GMOs. *Regulation 641/2004 on the detailed rules for the implementation of Regulation 1829/2003. Directive 90/219/EEC, as amended by directive 98/81/EC, on the contained use of genetically modified micro-organisms (GMMs)* regulates research and industrial work activities involving GMMs under conditions of containment. This includes work activities in laboratories. The guidelines for the development of national strategies and best practices to ensure the co-existence of genetically modified crops with conventional and organic farming were adopted by the Commission as a Recommendation on 23 July 2003, C(2003). In January 2002 the Commission adopted a *Strategy for Europe on Life Sciences and Biotechnology: COM(2002)27 final*. The first and the second Progress Report were adopted 2003 and 2004: *COM(2003)96 final*; *COM(2004)250 final*.

<sup>44</sup> Borner, A.-K. et al., *Scenarios for co-existence of genetically modified, conventional and organic crops in European agriculture* European Commission, Joint Research Centre, Institute for Prospective Studies, Sevilla 2002.

<sup>45</sup> Villaseca, J. L., *GMO contamination around the world*, Friends of the Earth International, Genetically Modified Organisms Progress Report, 1st ed. 2002. 2<sup>nd</sup> ed. August 2003. On Mexico: Quist, D., Chapela, I., *Transgenic DNA introgressed into traditional maize landraces*, Oaxaca,



43. The environmental risks that demonstrably can occur as a result of the release of GMO are: vertical gene transfer, migration into the wild of transgenic plants, damage to useful animals, resistance development in insects, creation of new plant viral pathogens from the effects of combination with virus-resistant crops, damage to micro-organisms in the soil from e.g. Bt toxin.<sup>46</sup> The expected positive environmental effects such as reduction of pesticides, however, are questionable.<sup>47</sup> In the bee gut it was found that antibiotic resistance genes from rape (incorporated in these plants as marker genes) had entered the DNA of gut micro-organisms by horizontal gene transfer, which promotes the development of antibiotic resistance in the environment. Horizontal gene transfer is the transfer of transgenes across species.<sup>48</sup>

### 2.3 Central and Eastern Europe

44. Within the EU, consumer-friendly and cautious attitudes have tended to become established in the sphere of agrogenic technology. All the new Eastern European EU member states acceding in 2004 (Hungary, Poland, Czech Republic, Slovakia, Slovenia, Lithuania, Latvia, Estonia) have introduced legislation on gene technology in recent years, to comply with the EU standard. The main problem here is monitoring of adherence to the law, since the necessary capacity is still by no means in place. Spot checks on products sold on the market in these new member states, carried out by consumer groups and environmental protection organisations show that the labelling requirement is not being met.<sup>49</sup>

45. The extent to which genetically modified foods, feedstuffs or seeds are circulating in the markets of the new member states is largely unknown. Only Hungary and the Czech Republic so far have certified laboratories that allow genetically modified organisms or their constituents to be detected. Even here, regular checks are not being performed. Provision of information to the public and its involvement in the decision-making processes concerning the release of GMO is also deficient at present in the new accession countries. Public debate on the benefits and risks of transgenic organisms is taking place only to a very limited extent.<sup>50</sup>

---

Mexico, *Nature* 414, 2001, letters. Hirn, G., *Mexiko: Mais trotz Moratorium gentechnisch verunreinigt*, *Bauernstimme* 12, 2003, p. 10. Mexico has the largest diversity of maize plants in the world, with 56 different types and 16 000 varieties. The international maize and wheat research center (CIMMYT) in Mexico houses the most comprehensive maize gene bank. The US companies evidently accepted the contamination of this sensitive area without disapproval. Varieties were found in the native maize that are only approved in the USA as feedstuffs (StarLink).

<sup>46</sup> The most comprehensive investigation so far, into the effects of GMO on biodiversity is the farm-scale evaluation conducted by the British government. It showed overwhelmingly negative results. Burke, M., *GM crops-effects on farmland wildlife*, 2003, [www.defra.gov.uk/environmental/gm/index](http://www.defra.gov.uk/environmental/gm/index)

<sup>47</sup> A study based on German Ministry of Agriculture data has shown that the use of GMO in the USA led to a 22,500 tonne increase in the use of pesticides. Benbrook, Ch. M., *Impacts of genetically engineered crops on pesticide use in the United States: The first eight years*, BioTech InfoNet, Technical Papers 6, 2003, [www.biotech\\_info.net/technicalpaper6.html](http://www.biotech_info.net/technicalpaper6.html) The International Plant protection convention (IPPC), one of the regulatory bodies for plant health and risk prevention recognised by the WTO is working on an international regulation for the treatment of "Crop varieties with special environmental risks", which makes provision for risk assessments ("Pest Risk Assessment") for products of biotechnology: [www.ippc.int/IPPC/En/events.jsp](http://www.ippc.int/IPPC/En/events.jsp)

<sup>48</sup> Concerning the findings of Prof. Hans-Hinrich Kaatz (Institut für Bienenkunde der Universität Jena) cf.: [http://www.transgen.de/Aktuell/History/00\\_05\\_raps-bienen.html](http://www.transgen.de/Aktuell/History/00_05_raps-bienen.html). In horizontal gene-transfer genes from one organism pass to another without a cross being necessary. Some micro-organisms can pick up DNA directly from their environment (transformation) or vectors (often viruses) can transfer DNA from one organism to another (transduction). The previous state of research was that examples of successful horizontal gene transfer were extremely rare in eukaryotes. Hankeln, Schmidt, *Transgene Tiere in Forschung, Medizin und Landwirtschaft*, Brandt, P. (ed.), *Zukunft der Gentechnik*, 1997, 117 pp. However, those examples in which horizontal gene transfer is suspected are particularly relevant to the safety debate. Several investigations have shown that transposable genetic elements (transposons) have probably passed by horizontal gene transfer from one species to another. Beesten, A. v., *Gentechnologie und Ernährung*, *Umwelt-Medizin-Gesellschaft* 16:3, 2003, pg. 177-187. Ho, M.-W., Ching, L. L., *The case for a GM-free sustainable world*, Independent Science Panel, London 2003, 31pp., 40 pp. on horizontal gene transfer.

<sup>49</sup> Expert report by Eimer, M. et al., *„Agrogentechnik“ in den EU-Beitrittsländern*, Öko-Institut e.V. (ed.), Freiburg 2004, [www.oeko.de](http://www.oeko.de)

<sup>50</sup> Veronika Mora (Hungarian Environmental Partnership Foundation in Budapest) states at the *hearing of the COE Committee*: „Even where GMO legislation exists, the lack of enforcement appears to be a universal problem. In most cases it is attributed to the lack of administrative capacity and expert knowledge on the side of administrators – many countries have assigned maybe only one (or half-time) person to deal with GMOs in the Ministry of Agriculture, and no one elsewhere. The lack of state funding hinders the establishment of proper networks to sufficiently monitor imported seeds, feed and food products. Without public pressure there isn't much hope for improvement in this field.“

46. Bulgaria and Romania, which are expected to join the EU in 2007, show major policy divergences from the EU policy on gene technology. Bulgaria still has no comprehensive law on gene technology, although it was the first state to sign the Biosafety Protocol. Transgenic plants have been grown commercially for a number of years, some of which are not approved for cultivation or marketing in the EU.

47. In June 2004 new labelling regulations came into force in Russia. The percentage GMO content above which the food product concerned must be labelled as genetically modified was reduced from the previous 5% to 0.9%. Though this does provide similarly strict labelling requirements to those pertaining in the EU, few manufacturers actually observed even the old regulations, due to lack of knowledge and controls. The first national laboratory came into operation this year. According to unofficial information, 30% of foods sold in Moscow contained GMO, though the figure could be considerably higher. The commercial cultivation of GMO is not yet permitted in Russia. Six genetically modified varieties of maize have been authorised for use, two transgenic varieties of potato, one of sugar beet and one of rice.<sup>51</sup>

48. In the autumn of 2000 the US Senate approved the allocation of 30 million US \$ to the promotion of US agro-biotechnology in the countries of central and Eastern Europe. Various environmental organisations in Eastern Europe and the former Soviet Union (NIS) have accused the USA and the internationally active seed companies of exploiting the often inadequate and ineffective legislation which in these countries usually goes hand in hand with weak democratic structures and limited public awareness, in order to establish their products.<sup>52</sup>

49. Slovakia and also Slovenia are countries with high biological diversity and formulate their policies on this basis with a focus on eco-tourism and ecological agriculture. Both countries incorporated the EU release regulations into their national legislation at a very early stage. Slovenia wished to establish itself as a GMO-free zone, but state-designated GMO-free zones are forbidden by EU law. Rapidly regions have joined together on a voluntary basis and committed themselves to GMO-free production.<sup>53</sup>

### 3. What do we not know?

50. Scientists who are critical of gene transfer methods consider that there are major differences between natural DNA (and mutations in conventional breeding selection) and transgenic constructs introduced by artificial methods into the genome of organisms.<sup>54</sup> They consider these differences to be significant with regard to safety and see more recent research findings as worrying. In the view of the critical scientists, numerous findings indicate that the commonest methods of gene transfer in plants, in which soil bacteria are used as vectors, "may also serve as a ready route for horizontal gene transfer". While this is a still unproven hypothesis, neither has it been convincingly disproved which, in view of the huge potential risk of horizontal gene transfer, is what is needed.<sup>55</sup> On the other hand, the currently accepted hypothesis of 'substantial equivalence' also remains unproven. Since early assumptions of this kind impact directly on the nature of safety research, the critical position and the research requirements arising from it will be quoted in abbreviated form in the following paragraphs. The very detailed discussion of the literature that was incorporated in the original paper cannot be included here.

51. *GM crops are neither needed nor wanted; they failed to deliver their promises, and instead, are posing escalating problems on the farm. There is no realistic possibility for GM and non-GM agriculture to coexist, as evident from the level and extent of transgenic contamination that has already occurred,*

<sup>51</sup> AGRAR-EUROPE 23/04, 7 June 2004.

<sup>52</sup> Anonym Genet-news 2000. Kruszewska, I., *The situation with genetically engineered crops and food in Eastern Europe and the former Soviet Union*, ANPED (The Northern alliance for sustainability), 2001, [www.genet-info.org/documents/Bauernstimme.pdf](http://www.genet-info.org/documents/Bauernstimme.pdf), Online 12.11.2003  
Schweiger, T., *EU-Enlargement - The introduction of GMOs by back door of EU accession?*, Friends of the earth and ANPED (ed.), 2003.

<sup>53</sup> Initiative to create a trans-border zone in the alpine-Adriatic area of Slovenia, Italy (Friuli-Julian Venetia) and Austria (Carinthia): see [www.g...](http://www.g...) at Zarzer, B. 2004, *Geht die Gen-Saat im Osten auf (Is the gene seed sprouting in the East?)* 13.08.04 at [www.heise.de](http://www.heise.de)

<sup>54</sup> Ho, M.-Y., Young, L. L., *The case for a GM-free sustainable world*, Independent Science Press, London 2003, pg. 37-39.

<sup>55</sup> *ibid.*, 31 pp., 40 pp.; quotation below cf.: pg. 48-50.

even in a country like Mexico where an official moratorium has been in place since 1998. GM crops are unacceptable because they are by no means safe. They have been introduced without the necessary safeguards and safety assessments through a deeply flawed regulatory system based on a principle of 'substantial equivalence' that is aimed at expediting product approval rather than serious safety assessment. Despite the lack of data on safety tests of GM foods, the available findings already give cause for concerns over the safety of the transgenic process itself that are not being addressed.

At the same time, gene products introduced into food and other crops as biopesticides, accounting for 25 % of all GM crops world wide, are now found to be strong immunogens and allergens, and dangerous pharmaceuticals and vaccines are being introduced into food crops in open field trials. Under the guise of transgene containment, crops have been engineered with "suicide genes" that make plants male sterile. In reality, these crops spread both herbicide tolerance genes and male sterile suicide genes via pollen, with potentially devastating consequences on agricultural and natural biodiversity. About 75% of all GM crops planted worldwide are tolerant to one or two broad-spectrum herbicides, glufosinate ammonium and glyphosate. Both are systemic metabolic poisons expected to have a wide range of harmful effects on humans and other living organisms and these effects have now been confirmed.<sup>56</sup>

By far the most insidious dangers of genetic engineering are inherent to the process itself, which greatly enhances the scope and probability of horizontal gene transfer and recombination, the main route to creating viruses and bacteria that cause disease epidemics. New techniques such as DNA shuffling are allowing geneticists to create in a matter of minutes in the laboratory millions of recombinant viruses that have never existed. Disease-causing viruses and bacteria and their genetic material are the predominant materials and tools of genetic engineering, as much as for the intentional creation of bio-weapons. There is already experimental evidence that transgenic DNA from plants has been taken up by bacteria in the soil and in the gut of human volunteers. Antibiotic resistance marker genes can spread from transgenic food to pathogenic bacteria, making infections very difficult to treat. Transgenic DNA is known to survive digestion in the gut and to jump into the genomes of mammalian cells, raising the problem of triggering cancer. Evidence suggests that transgenic constructs with the CaMV 35S promoter, present in most GM crops, might be especially unstable and prone to horizontal gene transfer and recombination, with all the attendant hazards: gene mutations due to random insertion, cancer, reactivation of dormant viruses and generation of new viruses.

There has been a history of misinterpretation and suppression of scientific evidence especially on horizontal gene transfer. Key experiments failed to be performed, or were performed badly and then misrepresented. Many experiments failed to be followed up, including investigations on whether the CaMV promoter is responsible for the 'growth-factor-like' effects observed in young rats fed GM potatoes.

52. The thorough feeding trials in rats conducted in 1998 by the food geneticist Prof. Arpad Pusztai showed that the rats developed modified organ weights, growth disorders and irritation of the immune system. The animals were fed with three different type of potato: transgenic potatoes into which a snowdrop gene had been inserted (to produce the protein lectin which is non-toxic to humans as an insecticide), conventional potatoes to which the same quantity of lectin had been added as that produced by the transgenic potatoes, and conventional potatoes with no additive. Only the GM potatoes led to the effects described. Pusztai with his unpleasant findings became the victim of an incredible campaign, was dismissed and was not permitted to continue his experiments.<sup>57</sup> He

<sup>56</sup> Ibid.: "Glufosinate ammonium is linked to neurological, respiratory, gastrointestinal and haematological toxicities, and birth defects in humans and mammals. Glyphosate is the most frequent cause of complaints and poisoning in the UK, and disturbances of many body functions have been reported after exposure at normal use levels. Glyphosate exposure nearly doubled the risk of late spontaneous abortion, and children born to users of glyphosate had elevated neurobehavioral defects. Glyphosate causes retarded development of the foetal skeleton in laboratory rats. It inhibits the synthesis of steroids, and is genotoxic in mammals, fish and frogs. Field dose exposure of earthworms caused at least 50 percent mortality and significant intestinal damage among surviving worms. Roundup causes cell division dysfunction that may be linked to human cancers."

<sup>57</sup> Ewen, S., Pusztai, A., *Effects of diets containing genetically modified potatoes expressing Galanthus nivalis lectin on rat small intestine*, Lancet 354, No. 9187, October 16, 1999, pg. 1353-1354; for Pusztai's full rebuttal to his critics see also <http://plab.ku.dk/tcbh/PusztaiPusztai.htm>. Jeffrey M. Smith described the processes in detail in his book on the health risks of GMO. Smith, J. M., *Trojanische Saaten*, München 2004, pg. 17-68. The German edition contains a footnote by Christine von Weizsäcker: The American original edition was published under the title "Seeds of Deception", Fairfield, IA (USA) 2004. Many scientists and journalists have had the same experience as Pusztai. The extent of manipulation and campaigns to destroy professional reputations which have been documented are extremely worrying.

now works as an expert assessor for the EU authorities and is at present assessing a current feeding study by Monsanto (BT maize MON 863) for, amongst others, the Bundesinstitut für Naturschutz (German Institute for the Protection of Nature).<sup>58</sup>

53. The assessment of safety to health is based on the concept of substantial equivalence. According to this, a "novel food"<sup>59</sup> is regarded as being as safe as a comparable product produced in the traditional way if it does not differ substantially from this with regard to composition of the contents and other characteristics. Safety investigations are to be conducted by the manufacturer. This concept in itself does not provide a safety assessment, but only represents a comparison with conventional foods and leads to an elevated impression of the safety of genetically produced foods. These are investigated for their phenotypic characteristics, main nutrients (proteins, carbohydrates, fats, vitamins, minerals) and their physiological nutritional characteristics. Independent investigations and studies often cannot be conducted on an adequate scale in view of the industry-dependent science alone for cost reasons, and with the decreasing proportion of state-financed research are limited with regard to scope and precision.

54. There are so far no clear tests for new allergens and there is still no information on the allergenic effects of GM foods. Allergies take years to develop. Only by means of clinical studies in which humans ingest GM foods in short and long term tests could a reliable evaluation of allergenicity be undertaken. There are as yet no adequate, effective animal models or sufficiently sensitive and specific methods by which the unwanted effects of GMO could be determined. Long term studies are not available. Consequences for health cannot therefore be assessed finally because the instruments for their discovery are not available.<sup>60</sup> For the ecological consequences, it is the same story. Here again there are no baseline data which could form the basis for thorough concomitant research and no binding methods or standards.

### 3.1 *Transgenic domestic animals and genetically modified micro-organisms*

55. While research projects into the production of transgenic animals have received strong financial support in the last few decades both from industry and government, investigations into the possible risks of these genetic modifications to humans, the environment and domestic animals themselves have remained largely unexamined. Although the objective of research is now often commercial application, there is a large research deficit with regard to the possible risks.

56. The probable ecological effects vary greatly between the various groups of transgenic domestic animals. In principle, there is the risk with transgenic domestic animals that their foreign genes may pass into wild populations of their species or closely related species by mating. Cross-breeding with other herds of domestic animals is also a possibility. Risks must be estimated specifically for each species, region in which they are kept and rearing conditions.<sup>61</sup>

57. For genetically modified rabbits, the risk of cross breeding with wild populations, by contrast with other species of mammals, is very high in view of the difficulty of securing outdoor enclosures and the high reproduction potential.<sup>62</sup> In the case of chicken, mating with wild fowl species is possible

---

<sup>58</sup> According to the Frankfurter Allgemeine Sonntagszeitung, 12 Sept. 2004, No.37, p.65 Pusztai expressed the following criticism: Companies would produce irrelevant data mountains that only caused confusion. In truth the dossiers almost always contained no fine microscopic data on the gastrointestinal tract, although this was the first thing to come into contact with the GM vegetable. Also, the rats tested were usually too old to discover minimal differences in growth such as he found at that time. "With the tests used, only catastrophic differences could be discovered", said Pusztai. But nobody expects those, it's more a question of unexpected chronic effects. The authorities simply did not exert sufficient pressure on the companies to use new methods to obtain genuine answers to decisive questions on the safety of genetically modified foods. The requirements of Mae-Wan Ho and colleagues for the design of future studies were based on Pusztai's study. Ho, M.-W. et al. 2003, pg. 47.

<sup>59</sup> According to the *EU Novel Food Directive* of 1997: foods containing live genetically modified organisms, or products isolated or processed from GMO, but also substances with new types of chemical structures, products from non-traditionally used raw materials, products from foreign culture groups and traditional foods treated or processed using new technical methods.

<sup>60</sup> Beesten, A. v., 2003, 178 pp.: "With the release and feeding of genetically modified organisms with no previous investigation by long term studies, an uncontrolled experiment is instead being conducted on the whole of humanity, animals and the ecosystem."

<sup>61</sup> For transgenic cattle, there is no risk of cross-fertilisation in Europe, since wild forms of cattle (urus) became extinct in the 17<sup>th</sup> century, but it is possible in Africa and Asia since there are potential mating partners (water buffalo, yaks, gaur). For sheep and goats the situation is similar, because potential partners (mouflon, bezoar goat) now occur in very few areas of the world. For domestic pigs, there is the possibility of cross breeding with wild boar.

<sup>62</sup> In Australia at the end of the 80s, there was an explosion in the population of wild rabbits that had developed resistance to the myxomatosis virus. The effects on the ecosystems concerned were serious.